

**The Metropolitan Transportation Authority
Acting By
The MTA Capital Construction Company**



MTA CONTRACT #6240

**DESIGN-BUILD SERVICES
FOR
LIRR EXPANSION PROJECT
FROM FLORAL PARK TO HICKSVILLE**

CONFORMED DOCUMENTS

**VOLUME 1 – DESIGN-BUILD AGREEMENT
EXHIBIT C – PART 1C (Book 3 of 9)**

A handwritten signature in blue ink, appearing to be "Dne" or similar, written in a cursive style.

VOLUME 1: Legal/Administrative Forms

**Schedule XI -
NYS Certification of
Restrictions on Lobbying**

SCHEDULE XI – NYS CERTIFICATION OF RESTRICTIONS ON LOBBYING

All procurements by the Railroad in excess of \$15,000 annually are subject to New York State's State Finance Law Sections 139-j and 139-k, effective January 1, 2006 ("Lobbying Law").

Pursuant to the Lobbying Law, all "contacts" (defined as oral, written or electronic communications with the Railroad intended to influence a procurement) during a procurement must be made with the designated Point of Contact only. Exceptions to this rule include written questions during the bid process, communications with regard to protests, contract negotiations and RFP conference participants. Nothing in the Lobbying Law inhibits any rights to make an appeal, protest or complaint under existing administrative or judicial procedures.

Violations of the policy regarding permissible contacts must be reported to the appropriate Railroad officer and investigated accordingly. First violation may result in a determination of non-responsibility and ineligibility for award to the violator and its subsidiaries, affiliates and related entities. The penalty for a second violation within four (4) years is ineligibility for bidding/proposing on a procurement and/or ineligibility from being awarded any contract for a period of four (4) years. The Railroad will notify the New York State Office of General Services ("OGS") of any determinations of non-responsibility or debarments due to violations of the Lobbying Law. Violations found to be "knowing and willful" must be reported to the Railroad President and OGS.

Moreover, the statutes require the Railroad to obtain certain affirmation and certifications from Bidders and Proposers. This schedule contains the forms to comply, with additional information and instructions.

DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS

Instructions:

New York State Finance Law Section 139-k(2) obligates the Railroad to obtain specific information regarding prior non-responsibility determinations. In accordance with State Finance Law Section 139-k, an offeror must be asked to disclose whether there has been a finding of non-responsibility made within the previous four (4) years by any Governmental Entity due to: (a) a violation of State Finance Law Section 139-j, or (b) the intentional provision of false or incomplete information to a Governmental Entity.

As part of its responsibility determination, State Finance Law Section 139-k(3) mandates consideration of whether an offeror fails to timely disclose accurate or complete information regarding the above non-responsibility determination. In accordance with law, no procurement contract shall be awarded to any offeror that fails to timely disclose accurate or complete information under this section, unless the factual elements of the limited waiver provision can be satisfied on the written record.

THE FORMS ARE ON THE NEXT PAGES

**COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k
DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS**

Name of Bidder / Proposer: 3rd Track Constructors

Address: 810 Seventh Ave., 9th Floor, New York, NY 10019

Name and Title of Person Submitting this Form: Jose Miguel Ibanez, Authorized Representative

Has any governmental entity* made a finding of non-responsibility regarding the Bidder/Proposer in the previous four (4) years?

If yes: Was the basis for the finding of the Bidder's/Proposer's non-responsibility due to a violation of State Finance Law Section 139-j?

Was the basis for the finding of Bidder's/Proposer's non-responsibility due to the intentional provision of false or incomplete information to a governmental entity?

If yes, please provide details regarding the finding of non-responsibility below.

Governmental Entity: _____

Year of Finding of Non-responsibility: _____

Basis of Finding of Non-responsibility:

(Add additional pages as necessary)

Has any governmental entity terminated a procurement contract with the Bidder/Proposer due to the intentional provision of false or incomplete Information?



*A "governmental entity" is: (1) any department, board, bureau, commission, division, office, council, committee or officer of New York State, whether permanent or temporary; (2) each house of the New York State Legislature; (3) the unified court system; (4) any public authority, public benefit corporation or commission created by or existing pursuant to the public authorities law; (5) any public authority or public benefit corporation, at least one of those members is appointed by the governor or who serves as a member by virtue of holding a civil office of the state; (6) a municipal agency, as that term is defined in paragraph (ii) of subdivision (s) of section one-c of the Legislative Law; or (7) a subsidiary or affiliate of such a public authority. (SFL Section 139-j, paragraph 1.a)

COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k

BIDDER'S/PROPOSER'S AFFIRMATION AND CERTIFICATION

By signing below, the Bidder / Proposer:

- a) Affirms that the Bidder/Proposer understands and agrees to comply with the policy regarding permissible contacts in accordance with State Finance Law Sections 139-j and 139-k of New York State.
- b) Certifies that all information provided to LIRR with respect to State Finance Law Sections 139-j and 139-k is complete, true, and accurate.

By:  _____
(Signature of Person Certifying)

Date: 07/13/2017

Print Name: Jose Miguel Ibanez

Print Title: Authorized Representative of 3rd Track Constructors

Bidder/Proposer or Contractor/Consultant: 3rd Track Constructors
(Full Legal Name)

Address: 810 Seventh Ave., 9th Floor, New York, NY 10019

Business Telephone Number: 212-779-0900

LIRR'S RIGHT TO TERMINATE

LIRR reserves the right to terminate a Contract in the event it is found that the certification filed by the Contractor / Consultant, as Bidder / Proposer, in accordance with New York State Finance Law Section 139-k was intentionally false or intentionally incomplete. Upon such finding, LIRR may exercise its termination right by providing written notification to the Contractor/Consultant in accordance with the written notification terms of the Contract.

Rev. 11/1/11

THIS DOCUMENT HAS BEEN REDACTED FOR PUBLIC DISTRIBUTION

**VOLUME 1: Legal/Administrative Forms
Schedule XI - NYS Certification of Restrictions on Lobbying**

John P. Picone, Inc.

John P. Picone, Inc.

SCHEDULE XI – NYS CERTIFICATION OF RESTRICTIONS ON LOBBYING

All procurements by the Railroad in excess of \$15,000 annually are subject to New York State's State Finance Law Sections 139-j and 139-k, effective January 1, 2006 ("Lobbying Law").

Pursuant to the Lobbying Law, all "contacts" (defined as oral, written or electronic communications with the Railroad intended to influence a procurement) during a procurement must be made with the designated Point of Contact only. Exceptions to this rule include written questions during the bid process, communications with regard to protests, contract negotiations and RFP conference participants. Nothing in the Lobbying Law inhibits any rights to make an appeal, protest or complaint under existing administrative or judicial procedures.

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Moreover, the statutes require the Railroad to obtain certain affirmation and certifications from Bidders and Proposers. This schedule contains the forms to comply, with additional information and instructions.

DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS

Instructions:

New York State Finance Law Section 139-k(2) obligates the Railroad to obtain specific information regarding prior non-responsibility determinations. In accordance with State Finance Law Section 139-k, an offeror must be asked to disclose whether there has been a finding of non-responsibility made within the previous four (4) years by any Governmental Entity due to: (a) a violation of State Finance Law Section 139-j, or (b) the intentional provision of false or incomplete information to a Governmental Entity.

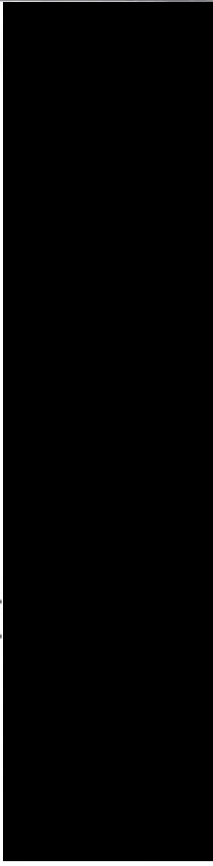
As part of its responsibility determination, State Finance Law Section 139-k(3) mandates consideration of whether an offeror fails to timely disclose accurate or complete information regarding the above non-responsibility determination. In accordance with law, no procurement contract shall be awarded to any offeror that fails to timely disclose accurate or complete information under this section, unless the factual elements of the limited waiver provision can be satisfied on the written record.

THE FORMS ARE ON THE NEXT PAGES

COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k
DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS

Name of Bidder / Proposer: John P. Picone Inc.
Address: 31 Garden Lane, Lawrence, NY 11559

Name and Title of Person Submitting this Form: Mario Serrano Villate

<p>Has any governmental entity* made a finding of non-responsibility regarding the Bidder/Proposer in the previous four (4) years?</p> <p>If yes: Was the basis for the finding of the Bidder's/Proposer's non-responsibility due to a violation of State Finance Law Section 139-j?</p> <p>Was the basis for the finding of Bidder's/Proposer's non-responsibility due to the intentional provision of false or incomplete information to a governmental entity?</p> <p><i>If yes, please provide details regarding the finding of non-responsibility below.</i></p> <p>Governmental Entity: _____</p> <p>Year of Finding of Non-responsibility: _____</p> <p>Basis of Finding of Non-responsibility: _____</p> <hr/> <p>(Add additional pages as necessary)</p> <p>Has any governmental entity terminated a procurement contract with the Bidder/Proposer due to the intentional provision of false or incomplete Information?</p>	
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COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k

BIDDER'S/PROPOSER'S AFFIRMATION AND CERTIFICATION

By signing below, the Bidder / Proposer:

- a) Affirms that the Bidder/Proposer understands and agrees to comply with the policy regarding permissible contacts in accordance with State Finance Law Sections 139-j and 139-k of New York State.
- b) Certifies that all information provided to LIRR with respect to State Finance Law Sections 139-j and 139-k is complete, true, and accurate.

By: _____
(Signature of Person Certifying)

Date: _____ 7/10/17

Print Name: _____ Mario Serrano Villate

Print Title: _____ Chief Operating Officer

Bidder/Proposer or Contractor/Consultant: _____ John P. Picone Inc.
(Full Legal Name)

Address: _____ 31 Garden Lane, Lawrence, NY 11559

Business Telephone Number: _____ 516 239-1600

LIRR'S RIGHT TO TERMINATE

LIRR reserves the right to terminate a Contract in the event it is found that the certification filed by the Contractor / Consultant, as Bidder / Proposer, in accordance with New York State Finance Law Section 139-k was intentionally false or intentionally incomplete. Upon such finding, LIRR may exercise its termination right by providing written notification to the Contractor/Consultant in accordance with the written notification terms of the Contract.

Rev. 11/1/11

VOLUME 1: Legal/Administrative Forms
Schedule XI - NYS Certification of Restrictions on Lobbying

Dragados USA, Inc.

SCHEDULE XI – NYS CERTIFICATION OF RESTRICTIONS ON LOBBYING

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Pursuant to the Lobbying Law, all "contacts" (defined as oral, written or electronic communications with the Railroad intended to influence a procurement) during a procurement must be made with the designated Point of Contact only. Exceptions to this rule include written questions during the bid process, communications with regard to protests, contract negotiations and RFP conference participants. Nothing in the Lobbying Law inhibits any rights to make an appeal, protest or complaint under existing administrative or judicial procedures.

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Moreover, the statutes require the Railroad to obtain certain affirmation and certifications from Bidders and Proposers. This schedule contains the forms to comply, with additional information and instructions.

DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS

Instructions:

New York State Finance Law Section 139-k(2) obligates the Railroad to obtain specific information regarding prior non-responsibility determinations. In accordance with State Finance Law Section 139-k, an offeror must be asked to disclose whether there has been a finding of non-responsibility made within the previous four (4) years by any Governmental Entity due to: (a) a violation of State Finance Law Section 139-j, or (b) the intentional provision of false or incomplete information to a Governmental Entity.

As part of its responsibility determination, State Finance Law Section 139-k(3) mandates consideration of whether an offeror fails to timely disclose accurate or complete information regarding the above non-responsibility determination. In accordance with law, no procurement contract shall be awarded to any offeror that fails to timely disclose accurate or complete information under this section, unless the factual elements of the limited waiver provision can be satisfied on the written record.

THE FORMS ARE ON THE NEXT PAGES

**COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k
DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS**

Name of Bidder / Proposer: Dragados USA, Inc.
Address: 810 Seventh Ave., 9th Floor, New York, NY 10019

Name and Title of Person Submitting this Form: Rafael de la Barreda, Executive Vice President

Has any governmental entity* made a finding of non-responsibility regarding the Bidder/Proposer in the previous four (4) years?

If yes: Was the basis for the finding of the Bidder's/Proposer's non-responsibility due to a violation of State Finance Law Section 139-j?

Was the basis for the finding of Bidder's/Proposer's non-responsibility due to the intentional provision of false or incomplete information to a governmental entity?

If yes, please provide details regarding the finding of non-responsibility below.

Governmental Entity: _____

Year of Finding of Non-responsibility: _____

Basis of Finding of Non-responsibility: _____

(Add additional pages as necessary)

Has any governmental entity terminated a procurement contract with the Bidder/Proposer due to the intentional provision of false or incomplete Information?

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COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k

BIDDER'S/PROPOSER'S AFFIRMATION AND CERTIFICATION

By signing below, the Bidder / Proposer:

- a) Affirms that the Bidder/Proposer understands and agrees to comply with the policy regarding permissible contacts in accordance with State Finance Law Sections 139-j and 139-k of New York State.
- b) Certifies that all information provided to LIRR with respect to State Finance Law Sections 139-j and 139-k is complete, true, and accurate.

By: _____
(Signature of Person Certifying)

Date: July 17, 2017

Print Name: Rafael de la Barreda

Print Title: Executive Vice President

Bidder/Proposer or Contractor/Consultant: Dragados USA, Inc.
(Full Legal Name)

Address: 810 Seventh Ave., 9th Floor, New York, NY 10019

Business Telephone Number: 212-779-0900

LIRR'S RIGHT TO TERMINATE

LIRR reserves the right to terminate a Contract in the event it is found that the certification filed by the Contractor / Consultant, as Bidder / Proposer, in accordance with New York State Finance Law Section 139-k was intentionally false or intentionally incomplete. Upon such finding, LIRR may exercise its termination right by providing written notification to the Contractor/Consultant in accordance with the written notification terms of the Contract.

Rev. 11/11

VOLUME 1: Legal/Administrative Forms
Schedule XI - NYS Certification of Restrictions on Lobbying

Halmar
International LLC

SCHEDULE XI – NYS CERTIFICATION OF RESTRICTIONS ON LOBBYING

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Moreover, the statutes require the Railroad to obtain certain affirmation and certifications from Bidders and Proposers. This schedule contains the forms to comply, with additional information and instructions.

DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS

Instructions:

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As part of its responsibility determination, State Finance Law Section 139-k(3) mandates consideration of whether an offeror fails to timely disclose accurate or complete information regarding the above non-responsibility determination. In accordance with law, no procurement contract shall be awarded to any offeror that fails to timely disclose accurate or complete information under this section, unless the factual elements of the limited waiver provision can be satisfied on the written record.

THE FORMS ARE ON THE NEXT PAGES

COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k
DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS

Name of Bidder / Proposer: Halmar International LLC

Address: 421 East Route 59, Nanuet, NY 10954

Name and Title of Person Submitting this Form: Chris Larsen

Has any governmental entity* made a finding of non-responsibility regarding the Bidder/Proposer in the previous four (4) years?

If yes: Was the basis for the finding of the Bidder's/Proposer's non-responsibility due to a violation of State Finance Law Section 139-j?

Was the basis for the finding of Bidder's/Proposer's non-responsibility due to the intentional provision of false or incomplete information to a governmental entity?

If yes, please provide details regarding the finding of non-responsibility below.

Governmental Entity: _____

Year of Finding of Non-responsibility: _____

Basis of Finding of Non-responsibility: _____

(Add additional pages as necessary)

Has any governmental entity terminated a procurement contract with the Bidder/Proposer due to the intentional provision of false or incomplete Information?

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COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k

BIDDER'S/PROPOSER'S AFFIRMATION AND CERTIFICATION

By signing below, the Bidder / Proposer:

- a) Affirms that the Bidder/Proposer understands and agrees to comply with the policy regarding permissible contacts in accordance with State Finance Law Sections 139-j and 139-k of New York State.
- b) Certifies that all information provided to LIRR with respect to State Finance Law Sections 139-j and 139-k is complete, true, and accurate.

By: 
(Signature of Person Certifying)

Date: July 13, 2017

Print Name: Chris Larsen

Print Title: Principal

Bidder/Proposer or Contractor/Consultant: Halmar International LLC
(Full Legal Name)

Address: 421 East Route 59, Nanuet, NY 10954

Business Telephone Number: (845)735.3511

LIRR'S RIGHT TO TERMINATE

LIRR reserves the right to terminate a Contract in the event it is found that the certification filed by the Contractor / Consultant, as Bidder / Proposer, in accordance with New York State Finance Law Section 139-k was intentionally false or intentionally incomplete. Upon such finding, LIRR may exercise its termination right by providing written notification to the Contractor/Consultant in accordance with the written notification terms of the Contract.

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VOLUME 1: Legal/Administrative Forms
Schedule XI - NYS Certification of Restrictions on Lobbying

CCA Civil, Inc.

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Instructions:

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THE FORMS ARE ON THE NEXT PAGES

COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k
DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS

Name of Bidder / Proposer: CCA Civil, Inc.
Address: 445 South Street, Ste. 310, Morristown, NJ 07960
Name and Title of Person Submitting this Form: Charles J. Montalbano - President

Has any governmental entity* made a finding of non-responsibility regarding the Bidder/Proposer in the previous four (4) years?

If yes: Was the basis for the finding of the Bidder's/Proposer's non-responsibility due to a violation of State Finance Law Section 139-j?

Was the basis for the finding of Bidder's/Proposer's non-responsibility due to the intentional provision of false or incomplete information to a governmental entity?

If yes, please provide details regarding the finding of non-responsibility below.

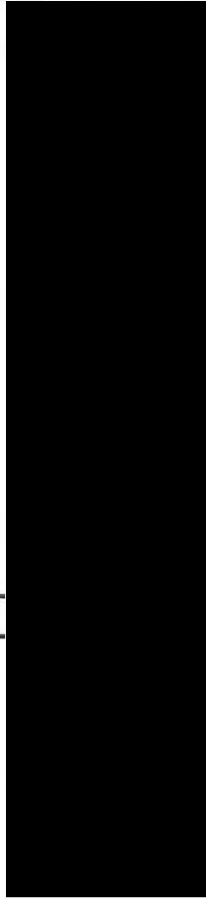
Governmental Entity: _____

Year of Finding of Non-responsibility: _____

Basis of Finding of Non-responsibility: _____

(Add additional pages as necessary)

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COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k

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- b) Certifies that all information provided to LIRR with respect to State Finance Law Sections 139-j and 139-k is complete, true, and accurate.

By: _____
(Signature of Person Certifying)

Date: 7/13/2017

Print Name: Charles J. Montalbano

Print Title: President

Bidder/Proposer or Contractor/Consultant: CCA Civil, Inc.
(Full Legal Name)

Address: 445 South Street, Ste.310, Morristown, N 07960

Business Telephone Number: 862-701-7200

LIRR'S RIGHT TO TERMINATE

LIRR reserves the right to terminate a Contract in the event it is found that the certification filed by the Contractor / Consultant, as Bidder / Proposer, in accordance with New York State Finance Law Section 139-k was intentionally false or intentionally incomplete. Upon such finding, LIRR may exercise its termination right by providing written notification to the Contractor/Consultant in accordance with the written notification terms of the Contract.

VOLUME 1: Legal/Administrative Forms
Schedule XI - NYS Certification of Restrictions on Lobbying

**Stantec Consulting
Services Inc.**

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Moreover, the statutes require the Railroad to obtain certain affirmation and certifications from Bidders and Proposers. This schedule contains the forms to comply, with additional information and instructions.

DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS

Instructions:

New York State Finance Law Section 139-k(2) obligates the Railroad to obtain specific information regarding prior non-responsibility determinations. In accordance with State Finance Law Section 139-k, an offeror must be asked to disclose whether there has been a finding of non-responsibility made within the previous four (4) years by any Governmental Entity due to: (a) a violation of State Finance Law Section 139-j, or (b) the intentional provision of false or incomplete information to a Governmental Entity.

As part of its responsibility determination, State Finance Law Section 139-k(3) mandates consideration of whether an offeror fails to timely disclose accurate or complete information regarding the above non-responsibility determination. In accordance with law, no procurement contract shall be awarded to any offeror that fails to timely disclose accurate or complete information under this section, unless the factual elements of the limited waiver provision can be satisfied on the written record.

THE FORMS ARE ON THE NEXT PAGES

**COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k
DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS**

Name of Bidder / Proposer: Stantec Consulting Services Inc.

Address: 475 Fifth Avenue, 12th Floor, New York, NY 10017

Name and Title of Person Submitting this Form: Stuart E. Lerner, Senior Vice President

Has any governmental entity* made a finding of non-responsibility regarding the Bidder/Proposer in the previous four (4) years?

If yes: Was the basis for the finding of the Bidder's/Proposer's non-responsibility due to a violation of State Finance Law Section 139-j?

Was the basis for the finding of Bidder's/Proposer's non-responsibility due to the intentional provision of false or incomplete information to a governmental entity?

If yes, please provide details regarding the finding of non-responsibility below.

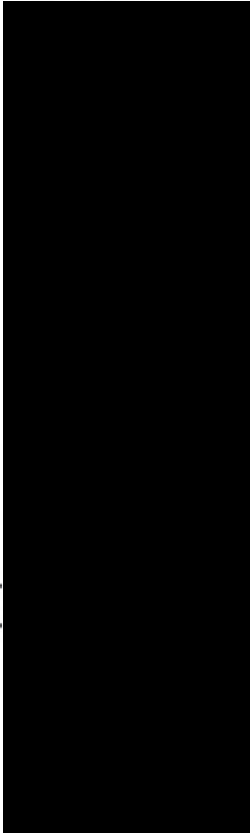
Governmental Entity: _____

Year of Finding of Non-responsibility: _____

Basis of Finding of Non-responsibility: _____

(Add additional pages as necessary)

Has any governmental entity terminated a procurement contract with the Bidder/Proposer due to the intentional provision of false or incomplete Information?



*A "government entity" is: (1) any department, board, bureau, commission, division, office, council, committee or officer of New York State, whether permanent or temporary; (2) each house of the New York State Legislature; (3) the unified court system; (4) any public authority, public benefit corporation or commission created by or existing pursuant to the public authorities law; (5) any public authority or public benefit corporation, at least one of those members is appointed by the governor or who serves as a member by virtue of holding a civil office of the state; (6) a municipal agency, as that term is defined in paragraph (ii) of subdivision (s) of section one-c of the Legislative Law; or (7) a subsidiary or affiliate of such a public authority. (SFL Section 139-j, paragraph 1.a)

COMPLIANCE WITH NYS FINANCE LAW SECTIONS 139-j AND 139-k

BIDDER'S/PROPOSER'S AFFIRMATION AND CERTIFICATION

By signing below, the Bidder / Proposer:

- a) Affirms that the Bidder/Proposer understands and agrees to comply with the policy regarding permissible contacts in accordance with State Finance Law Sections 139-j and 139-k of New York State.
- b) Certifies that all information provided to LIRR with respect to State Finance Law Sections 139-j and 139-k is complete, true, and accurate.

By:  _____
(Signature of Person Certifying)

Date: July 13, 2017

Print Name: Stuart E. Lerner, PE, ENV SP

Print Title: Senior Vice President

Bidder/Proposer or Contractor/Consultant: Stantec Consulting Services Inc.
(Full Legal Name)

Address: 475 Fifth Avenue, 12th Floor, New York, NY 10017

Business Telephone Number: (212) 366-5600

LIRR'S RIGHT TO TERMINATE

LIRR reserves the right to terminate a Contract in the event it is found that the certification filed by the Contractor / Consultant, as Bidder / Proposer, in accordance with New York State Finance Law Section 139-k was intentionally false or intentionally incomplete. Upon such finding, LIRR may exercise its termination right by providing written notification to the Contractor/Consultant in accordance with the written notification terms of the Contract.

Rev. 11/11

VOLUME 1: Legal/Administrative Forms

**Schedule XII -
MTA Vendor Code of
Ethics Certification**

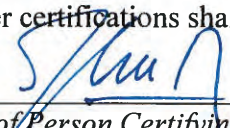
SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By:  07/13/2017
 (Signature of Person Certifying) (Date Signed)

Print Name: Jose Miguel Ibanez

Print Title: Authorized Representative

Bidder/Proposer or Contractor/Consultant 3rd Track Constructors
(Full Legal Name)

Address: 810 Seventh Ave., 9th Floor, New York, NY 10019

Business Phone No.: 212-779-0900

THIS DOCUMENT HAS BEEN REDACTED FOR PUBLIC DISTRIBUTION

**VOLUME 1: Legal/Administrative Forms
Schedule XII - MTA Vendor Code of Ethics Certification**

John P. Picone, Inc.

John P. Picone, Inc.

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By:



(Signature of Person Certifying)

7/10/17

(Date Signed)

Print Name:

Mario Serrano Villate

Print Title:

Chief Operating Officer

Bidder/Proposer or
Contractor/Consultant

John P. Picone Inc.

(Full Legal Name)

Address:

31 Garden Lane

Lawrence, NY 11559

Business Phone No.:

516 239-1600

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Dragados USA, Inc.

Dragados USA, Inc.

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By:



(Signature of Person Certifying)

07/17/2017

(Date Signed)

Print Name: Rafael de la Barreda

Print Title: Executive Vice President

Bidder/Proposer or
Contractor/Consultant Dragados USA, Inc.

(Full Legal Name)

Address: 810 Seventh Ave., 9th Floor, New York, NY 10019

Business Phone No.: 212-779-0900

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**Halmar
International LLC**

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

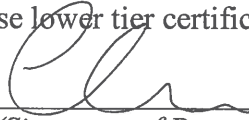
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By:



(Signature of Person Certifying)

July 13, 2017
(Date Signed)

Print Name:

Chris Larsen

Print Title:

Principal

Bidder/Proposer or

Contractor/Consultant Halmar International LLC
(Full Legal Name)

Address:

421 East Route 59, Nanuet, NY 10954

Business Phone No.:

845.735.3511

VOLUME 1: Legal/Administrative Forms
Schedule XII - MTA Vendor Code of Ethics Certification

CCA Civil, Inc.

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By:



(Signature of Person Certifying)

(Date Signed)

Print Name: Charles J. Montalbano

Print Title: President

Bidder/Proposer or Contractor/Consultant CCA Civil, Inc.

(Full Legal Name)

Address: 445 South Street, Ste.310, Morristown, NJ 07960

Business Phone No.: 862-701-7200

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**VOLUME 1: Legal/Administrative Forms
Schedule XII - MTA Vendor Code of Ethics Certification**

**Stantec
Consulting
Services Inc.**

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

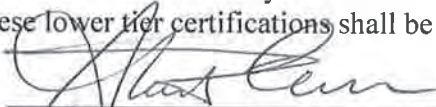
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By:



(Signature of Person Certifying)

July 13, 2017

(Date Signed)

Print Name: Stuart E. Lerner, PE, ENV SP

Print Title: Senior Vice President

Bidder/Proposer or
Contractor/Consultant Stantec Consulting Services Inc

(Full Legal Name)

Address: 475 Fifth Avenue, 12th Floor, New York, NY 10017

Business Phone No.: (212) 366-5600

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By: Bharat R. Patel June 21, 2017
 (Signature of Person Certifying) (Date Signed)

Print Name: Bharat Patel

Print Title: President

Bidder/Proposer or Contractor/Consultant AB Consulting
 (Full Legal Name)

Address: 34 Scandia Road
Congers, NY 10920

Business Phone No.: 845-268-0170

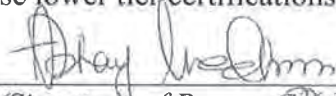
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By:  June 23 2017
(Signature of Person Certifying) *(Date Signed)*

Print Name: Abhay Wadhwa

Print Title: President

Bidder/Proposer or Contractor/Consultant: AWA Lighting Designers Inc.
 (Full Legal Name)

Address: 61 Greenpoint Avenue Suite 306
Brooklyn NY 11222

Business Phone No.: 212-473-9797

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By:



(Signature of Person Certifying)

6/28/2017

(Date Signed)

Print Name:

Richard Lee

Print Title:

President

Bidder/Proposer or
Contractor/Consultant

AmerCom Corporation

(Full Legal Name)

Address:

1259 Route 46E., Bldg. 2, Parsippany, NJ 07054

Business Phone No.:

973-402-6111

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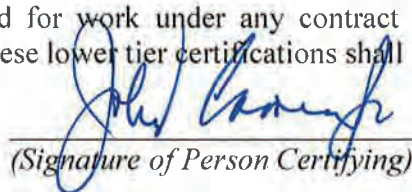
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By:


(Signature of Person Certifying)

6-19-17
(Date Signed)

Print Name:

John D. Cameron, Jr., P.E.

Print Title:

Managing Partner

Bidder/Proposer or

Contractor/Consultant

Cameron Engineering & Associates, LLP
(Full Legal Name)

Address:

177 Crossways Park Drive, Woodbury, NY 11797

Business Phone No.:

(516) 827-4900


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By:  21 June 2017
(Signature of Person Certifying) *(Date Signed)*

Print Name: Alyssa Loorya, M.A., MPhil., R.P.A.

Print Title: President

Bidder/Proposer or Contractor/Consultant Chrysalis Archaeological Consultants, Inc.
(Full Legal Name)

Address: 4110 Quentin Road; Brooklyn, New York 11234-4322

Business Phone No.: (718) 645-3962

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5. The Bidder/Proposer will obtain certifications similar to those made herein from all of its lower tier subcontractors, sub-consultants, and suppliers that the Bidder/Proposer engaged or are being solicited for work under any contract resulting from this procurement. Receipt and retention of these lower tier certifications shall be subject to audit by MTA/LIRR.

By:  6/14/2017
(Signature of Person Certifying) *(Date Signed)*

Print Name: Norman Hinsey, PE, LEED AP

Print Title: Program Manager

Bidder/Proposer or Contractor/Consultant CSA Group NY Architects and Engineers, P.C.
 (Full Legal Name)

Address: 55 Broadway, 14th fl., New York, NY 10006

Business Phone No.: 212-677-0777

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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Bidder/Proposer’s Certification of Compliance with the Code

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By: Susan Bayat 6-16-17
 (Signature of Person Certifying) (Date Signed)

Print Name: Susan Bayat, PE

Print Title: President

Bidder/Proposer or Contractor/Consultant EnTech Engineering, PC
 (Full Legal Name)

Address: 17 State Street, 36th Floor
New York, NY 10004

Business Phone No.: 646-722-0000

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By:  6/15/2017
(Signature of Person Certifying) *(Date Signed)*

Print Name: A. Stacey Gogos

Print Title: President

Bidder/Proposer or Contractor/Consultant Environmental Planning & Management, Inc.
(Full Legal Name)

Address: 1983 Marcus Avenue, Suite 109
Lake Success, NY 11042

Business Phone No.: 516-328-1194

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By: *K. Heaviside* *6/23/17*
 (Signature of Person Certifying) (Date Signed)

Print Name: *Ka Heaviside*

Print Title: *President*

Bidder/Proposer or Contractor/Consultant: *EPOCH 5 MARKETING, INC.*
 (Full Legal Name)

Address: *755 NEW YORK AVE, SUITE 400,*
HUNTINGTON, NEW YORK 11743-4240

Business Phone No.: *631-427-1713*


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By:  06/13/2017
(Signature of Person Certifying) *(Date Signed)*

Print Name: Christine Gayron, LS

Print Title: President

Bidder/Proposer or Contractor/Consultant Gayron de Bruin Land Surveying and Engineering, P.C.
 (Full Legal Name)

Address: 11 Union Avenue, Bethpage, NY 11714

Business Phone No.: 516-579-3111

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

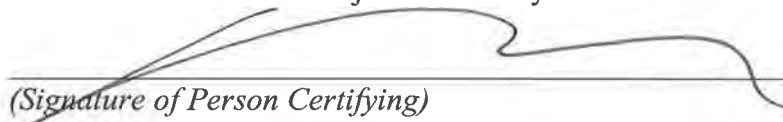
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By:



6/16/17

(Signature of Person Certifying)

(Date Signed)

Print Name:

Patrick D. Mahon

Print Title:

Vice President

Bidder/Proposer or

Contractor/Consultant

Goldberg Zoino Associates of New York, P.C. dba GZA GeoEnvironmental of New York
(Full Legal Name)

Address:

104 West 29th Street, 10th Floor, New York, NY 10001

Business Phone No.: 212-594-8140

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

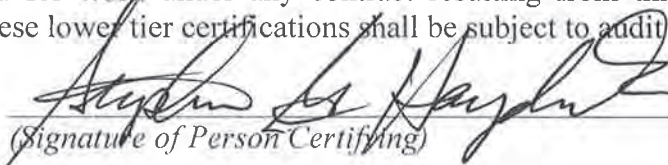
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By:


(Signature of Person Certifying)

6/27/17
(Date Signed)

Print Name:

Stephen G. Hayduk

Print Title:

Managing Member

Bidder/Proposer or Contractor/Consultant

Hayduk Engineering, LLC
(Full Legal Name)

Address:

1010 Route 112, Suite 310
Port Jefferson Station, NY 11776

Business Phone No.:

631-476-0600


SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By:  June 21, 2017
(Signature of Person Certifying) *(Date Signed)*

Print Name: Dominic A. DiBrito

Print Title: Partner

Bidder/Proposer or Contractor/Consultant LTK Engineering Services of New York LLP
(Full Legal Name)

Address: 100 West Butler Avenue, Ambler, PA 19002

Business Phone No.: (215) 542-0700

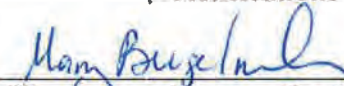
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By:  6/27/2017
(Signature of Person Certifying) (Date Signed)

Print Name: Manny Beigelmacher

Print Title: Senior Vice President

Bidder/Proposer or Contractor/Consultant Munoz Engineering & Land Surveying, DPC
(Full Legal Name)

Address: 505 8th Suite 2101
New York, NY 10018

Business Phone No.: 212-967-6588


SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By:  06-14-2017
(Signature of Person Certifying) *(Date Signed)*

Print Name: Peter Kim, P.E.

Print Title: President

Bidder/Proposer or Contractor/Consultant PK Engineering, P.C.
 (Full Legal Name)

Address: 149 Madison Avenue, 10th Floor
New York, NY 10016

Business Phone No.: (212) 683-4029

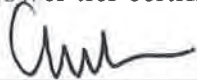
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By:  6/15/17
(Signature of Person Certifying) *(Date Signed)*

Print Name: Chitra R. Radin

Print Title: President

Bidder/Proposer or Contractor/Consultant Radin Consulting, Inc.
 (Full Legal Name)

Address: 193 West Hobart Gap Road
Livingston, NJ 07039

Business Phone No.: 973-732-1246


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By:  06/13/2017
(Signature of Person Certifying) *(Date Signed)*

Print Name: Chandu Boraniya

Print Title: Vice President

Bidder/Proposer or Contractor/Consultant T.Y. Lin International Engineering, Architecture, & Land Surveying, P.C.
 (Full Legal Name)

Address: 110 William Street, 29th Floor, New York, NY 10038

Business Phone No.: 212-228-0662


SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By:  6/15/17
 (Signature of Person Certifying) (Date Signed)

Print Name: David Vander Wal

Print Title: Senior Vice President

Bidder/Proposer or Contractor/Consultant Walker Parking Consultants
 (Full Legal Name)

Address: 50 West 23rd Street, Suite 704, New York, NY 10010

Business Phone No.: 212.288.2501

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By:  June 13, 2017
 (Signature of Person Certifying) (Date Signed)

Print Name: Wayne L. Allen, PE

Print Title: President

Bidder/Proposer or Contractor/Consultant W. Allen Engineering, PLLC
 (Full Legal Name)

Address: 2934 Hering Avenue
Bronx, NY 10469

Business Phone No.: 917-295-8275

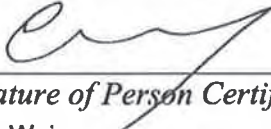
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By:  June 22, 2017
(Signature of Person Certifying) *(Date Signed)*

Print Name: Claire Weisz

Print Title: Principal-in-Charge

Bidder/Proposer or Contractor/Consultant Claire Weisz Architects, d/b/a WXY architecture + urban design
(Full Legal Name)

Address: 224 Centre Street, Fl 5
New York, NY 10013

Business Phone No.: 212-219-1953

**VOLUME 1: Legal/Administrative Forms
Schedule XII - MTA Vendor Code of Ethics Certification**

**Other
Subconsultants/
Subcontractors**

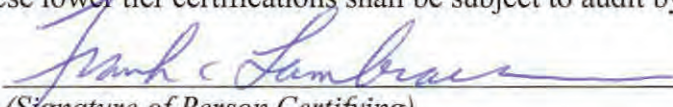
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Bidder/Proposer’s Certification of Compliance with the Code

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By:  7-14-17
 (Signature of Person Certifying) (Date Signed)

Print Name: Frank Lambraia

Print Title: COO

Bidder/Proposer or Contractor/Consultant E-J Electric Installation Co.
 (Full Legal Name)

Address: 46-41 Vernon Blvd.
Long Island City, NY 11101

Business Phone No.: 718-786-9400

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By:



(Signature of Person Certifying)

7/14/2017

(Date Signed)

Print Name:

Maqsood Malik, P.E.

Print Title:

President

Bidder/Proposer or
Contractor/Consultant

M & J Engineering, P.C.

(Full Legal Name)

Address:

2003 Jericho Turnpike

New Hyde Park, NY 11040

Business Phone No.:

516-821-7300

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By: Susan DeAngelo 7/14/2017
(Signature of Person Certifying) *(Date Signed)*

Print Name: Susan DeAngelo

Print Title: CEO - Managing Member

Bidder/Proposer or Contractor/Consultant DeAngelo Rail Services, LLC
(Full Legal Name)

Address: 1944 N. Port Court, Grapevine, TX 76051

Business Phone No.: (302) 545-5291

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

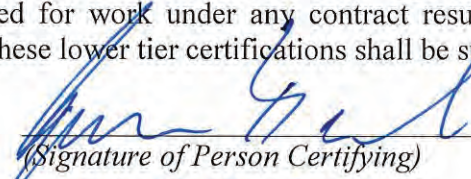
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By:

 _____ 7/14/17
 (Signature of Person Certifying) (Date Signed)

Print Name: AYMAN BAKI

Print Title: SR. VICE PRESIDENT

Bidder/Proposer or Contractor/Consultant Tectonic Engineering & Surveying Consultants, L.S., P.C.
(Full Legal Name)

Address: 118-35 QUEENS BLVD
FOREST HILLS, NY 11375

Business Phone No.: 718-391 9200

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By:



(Signature of Person Certifying)

7/13/2017

(Date Signed)

Print Name: Gary Lewi

Print Title: Managing Director

Bidder/Proposer or Contractor/Consultant Rubenstein Associates
(Full Legal Name)

Address: 825 Eighth Avenue, New York, 10019

Business Phone No.: 212 843-8010

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By: Joseph R. Amato 7/14/17
 (Signature of Person Certifying) (Date Signed)

Print Name: Joseph R. Amato

Print Title: Senior Partner

Bidder/Proposer or Contractor/Consultant: Cameron Engineering & Associates, LLP
 (Full Legal Name)

Address: 177 Crossways Park Drive
Woodbury NY 11797

Business Phone No.: 516-827-4900

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By: Judith R. White 7/13/17
 (Signature of Person Certifying) (Date Signed)

Print Name: Judith R. White

Print Title: Partner

Bidder/Proposer or Contractor/Consultant C S 2 Communication Strategies, LLC
 (Full Legal Name)

Address: 12 Little Neck Rd. Suite 201
Centerport, N.Y. 11721

Business Phone No.: 631-730-2790


SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

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By:  7/13/17
(Signature of Person Certifying) (Date Signed)

Print Name: MARGO K. CARGILL

Print Title: CEO

Bidder/Proposer or Contractor/Consultant TITANIUM LINX CONSULTING, INC.
(Full Legal Name)

Address: 589 NEWTON AVENUE
UNIONDALE, N.Y. 11553

Business Phone No.: 516-522-0093

SCHEDULE XII – MTA VENDOR CODE OF ETHICS CERTIFICATION

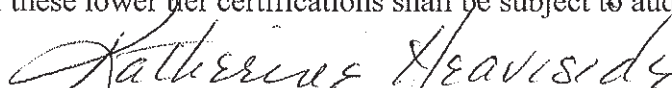
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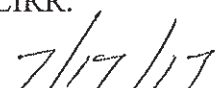
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By:


(Signature of Person Certifying)


(Date Signed)

Print Name: Katherine Heaviside

Print Title: President

Bidder/Proposer or
Contractor/Consultant Epoch 5 Marketing, Inc.
(Full Legal Name)

Address: 755 New York Avenue, Suite 400, Huntington, NY 11743

Business Phone No.: 631-427-1713

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By: *Mitch Levine* 7/17/17
(Signature of Person Certifying) (Date Signed)

Print Name: Mitch Levine

Print Title: President

Bidder/Proposer or Contractor/Consultant J-Track, LLC
(Full Legal Name)

Address: 14-45 117th Street
College Point, NY 11356

Business Phone No.: (718) 554-2760

VOLUME 1: Legal/Administrative Forms

Schedule XIII - Non-Collusive
Bidding Certification

Schedule XIII -
Non-Collusive
Bidding
Certification

SCHEDULE XIII – NON-COLLUSIVE BIDDING CERTIFICATION

REQUIRED BY SECTION 2878 OF THE NEW YORK PUBLIC AUTHORITIES LAW

BY SUBMISSION OF ITS BID/PROPOSAL/OFFER, BIDDER/PROPOSER/OFFEROR AND EACH PERSON SIGNING ON BEHALF OF BIDDER/PROPOSER/OFFEROR CERTIFIES, AND IN THE CASE OF JOINT BID/PROPOSAL/OFFER, EACH PARTY THERETO CERTIFIES AS TO ITS OWN ORGANIZATION, UNDER PENALTY OF PERJURY, THAT TO THE BEST OF HIS/HER KNOWLEDGE AND BELIEF:

(1) The prices of this bid/proposal/offer have been arrived at independently, without collusion, consultation, communication, or agreement, for the purposes of restricting competition, as to any matter relating to such prices with any other bidder/proposer/offeror or with any competitor;

(2) Unless otherwise required by law, the prices which have been quoted in this bid/proposal/offer have not been knowingly disclosed by the bidder/proposer/offeror and will not knowingly be disclosed by the bidder/proposer/offeror prior to opening, directly or indirectly, to any other bidder/proposer/offeror or to any competitor; and

(3) No attempt has been made or will be made by the bidder/proposer/offeror to induce any other person, partnership or corporation to submit or not to submit a bid/proposal/offer for the purpose of restricting competition.

A BID/PROPOSAL/OFFER SHALL NOT BE CONSIDERED FOR AWARD NOR SHALL ANY AWARD BE MADE WHERE (1), (2), (3) ABOVE HAVE NOT BEEN COMPLIED WITH; PROVIDED, HOWEVER, THAT IF IN ANY CASE THE BIDDER/PROPOSER/OFFEROR CANNOT MAKE THE FOREGOING CERTIFICATION, THE BIDDER/PROPOSER/OFFEROR SHALL SO STATE AND SHALL FURNISH BELOW SUBMIT WITH ITS BID/PROPOSAL/OFFER A SIGNED STATEMENT WHICH SETS FORTH IN DETAIL THE REASONS THEREFORE:

[AFFIX ADDENDUM TO THIS PAGE IF SPACE IS REQUIRED FOR STATEMENT]

Subscribed to under penalty of perjury under the laws of the State of New York, this 13th day of July, 2017 as the act and deed of said individual, firm, corporation or partnership.

IF BIDDER/PROPOSER/OFFEROR IS A PARTNERSHIP(S), COMPLETE THE FOLLOWING:

NAMES OF PARTNERS OR PRINCIPALS

LEGAL RESIDENCE

IF BIDDER/PROPOSER/OFFEROR IS A CORPORATION(S), COMPLETE THE FOLLOWING:

NAME

LEGAL RESIDENCE

President

Secretary

Treasurer

NAME

LEGAL RESIDENCE

President

Secretary

Treasurer

Bidder's Identifying Data (If this is a joint bid, please list each bidder participant)

Bidder Dragados USA, Inc.
(Name of person, firm or corporation)

Address 810 Seventh Ave., 9th Floor
(Street)
New York, NY 10019
(City, State, Zip)

Telephone 212-779-0900

Bidder John P. Picone, Inc.
(Name of person, firm or corporation)

Address 31 Garden Lane
(Street)
Lawrence, NY 11559
(City, State, Zip)

Telephone 516-239-1600

Bidder Halmar International LLC
(Name of person, firm or corporation)

Address 421 East Route 59
(Street)
Nanuet, NY 10954
(City, State, Zip)

Telephone 845-735-3511

I HEREBY CERTIFY ON BEHALF OF THE BIDDER THAT THE INFORMATION PROVIDED HEREIN IS COMPLETE AND ACCURATE:

Bidder: 3rd Track Constructors
(Name)

Signature: 
(Corporate Officer and/or Authorized Individual)

Name: Jose Miguel Ibanez Title: Authorized Representative

This certification must be signed by *each* bidder participant. Please submit additional signature pages, as necessary.

Bidder's Identifying Data (If this is a joint bid, please list each bidder participant)

Bidder CCA Civil, Inc.
(Name of person, firm or corporation)

Address 445 South Street
(Street)
Morristown, NJ 07960
(City, State, Zip)

Telephone 201-876-2788

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)

(City, State, Zip)

Telephone _____

Bidder _____
(Name of person, firm or corporation)


Address _____
(Street)

(City, State, Zip)

Telephone _____

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Bidder: 3rd Track Constructors
(Name)

Signature: 
(Corporate Officer and/or Authorized Individual)

Name: Jose Miguel Ibanez Title: Authorized Representative

This certification must be signed by *each* bidder participant. Please submit additional signature pages, as necessary.

THIS DOCUMENT HAS BEEN REDACTED FOR PUBLIC DISTRIBUTION

**VOLUME 1: Legal/Administrative Forms
Schedule XIII - Non-Collusive Bidding Certification**

John P. Picone, Inc.

John P. Picone, Inc.

SCHEDULE XIII – NON-COLLUSIVE BIDDING CERTIFICATION

REQUIRED BY SECTION 2878 OF THE NEW YORK PUBLIC AUTHORITIES LAW

BY SUBMISSION OF ITS BID/PROPOSAL/OFFER, BIDDER/PROPOSER/OFFEROR AND EACH PERSON SIGNING ON BEHALF OF BIDDER/PROPOSER/OFFEROR CERTIFIES, AND IN THE CASE OF JOINT BID/PROPOSAL/OFFER, EACH PARTY THERETO CERTIFIES AS TO ITS OWN ORGANIZATION, UNDER PENALTY OF PERJURY, THAT TO THE BEST OF HIS/HER KNOWLEDGE AND BELIEF:

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[AFFIX ADDENDUM TO THIS PAGE IF SPACE IS REQUIRED FOR STATEMENT]

Subscribed to under penalty of perjury under the laws of the State of New York, this 10 day of July, 20 17 as the act and deed of said individual, firm, corporation or partnership.

IF BIDDER/PROPOSER/OFFEROR IS A PARTNERSHIP(S), COMPLETE THE FOLLOWING:

NAMES OF PARTNERS OR PRINCIPALS

LEGAL RESIDENCE

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

IF BIDDER/PROPOSER/OFFEROR IS A CORPORATION(S), COMPLETE THE FOLLOWING:

NAME

LEGAL RESIDENCE

Jose Miguel Ibanez Rojo

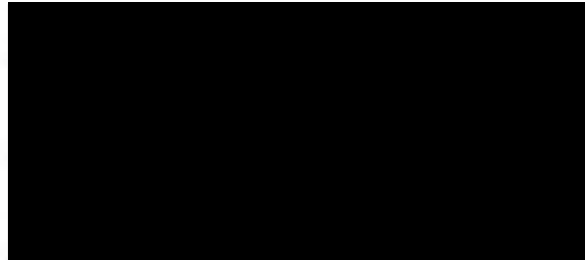
President

Joseph Portela

Secretary

Fernando Gonzalez Alcaniz

Treasurer



NAME

LEGAL RESIDENCE

President

Secretary

Treasurer

Bidder's Identifying Data (If this is a joint bid, please list each bidder participant)

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)
_____ (City, State, Zip)

Telephone _____

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)
_____ (City, State, Zip)

Telephone _____

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)
_____ (City, State, Zip)

Telephone _____

I HEREBY CERTIFY ON BEHALF OF THE BIDDER THAT THE INFORMATION PROVIDED HEREIN IS COMPLETE AND ACCURATE:

Bidder: John P. Picone Inc.
(Name)

Signature: 
(Corporate Officer and/or Authorized Individual)

Name: Mario Serrano Villate Title: Chief Operating Office

This certification must be signed by *each* bidder participant. Please submit additional signature pages, as

necessary.

THIS DOCUMENT HAS BEEN REDACTED FOR PUBLIC DISTRIBUTION

**VOLUME 1: Legal/Administrative Forms
Schedule XIII - Non-Collusive Bidding Certification**

Dragados USA, Inc.

Dragados USA, Inc.

SCHEDULE XIII – NON-COLLUSIVE BIDDING CERTIFICATION

REQUIRED BY SECTION 2878 OF THE NEW YORK PUBLIC AUTHORITIES LAW

BY SUBMISSION OF ITS BID/PROPOSAL/OFFER, BIDDER/PROPOSER/OFFEROR AND EACH PERSON SIGNING ON BEHALF OF BIDDER/PROPOSER/OFFEROR CERTIFIES, AND IN THE CASE OF JOINT BID/PROPOSAL/OFFER, EACH PARTY THERETO CERTIFIES AS TO ITS OWN ORGANIZATION, UNDER PENALTY OF PERJURY, THAT TO THE BEST OF HIS/HER KNOWLEDGE AND BELIEF:

(1) The prices of this bid/proposal/offer have been arrived at independently, without collusion, consultation, communication, or agreement, for the purposes of restricting competition, as to any matter relating to such prices with any other bidder/proposer/offeror or with any competitor;

(2) Unless otherwise required by law, the prices which have been quoted in this bid/proposal/offer have not been knowingly disclosed by the bidder/proposer/offeror and will not knowingly be disclosed by the bidder/proposer/offeror prior to opening, directly or indirectly, to any other bidder/proposer/offeror or to any competitor; and

(3) No attempt has been made or will be made by the bidder/proposer/offeror to induce any other person, partnership or corporation to submit or not to submit a bid/proposal/offer for the purpose of restricting competition.

A BID/PROPOSAL/OFFER SHALL NOT BE CONSIDERED FOR AWARD NOR SHALL ANY AWARD BE MADE WHERE (1), (2), (3) ABOVE HAVE NOT BEEN COMPLIED WITH; PROVIDED, HOWEVER, THAT IF IN ANY CASE THE BIDDER/PROPOSER/OFFEROR CANNOT MAKE THE FOREGOING CERTIFICATION, THE BIDDER/PROPOSER/OFFEROR SHALL SO STATE AND SHALL FURNISH BELOW SUBMIT WITH ITS BID/PROPOSAL/OFFER A SIGNED STATEMENT WHICH SETS FORTH IN DETAIL THE REASONS THEREFORE:

[AFFIX ADDENDUM TO THIS PAGE IF SPACE IS REQUIRED FOR STATEMENT]

Subscribed to under penalty of perjury under the laws of the State of New York, this 13th day of July, 2017 as the act and deed of said individual, firm, corporation or partnership.

IF BIDDER/PROPOSER/OFFEROR IS A PARTNERSHIP(S), COMPLETE THE FOLLOWING:

NAMES OF PARTNERS OR PRINCIPALS

LEGAL RESIDENCE

IF BIDDER/PROPOSER/OFFEROR IS A CORPORATION(S), COMPLETE THE FOLLOWING:

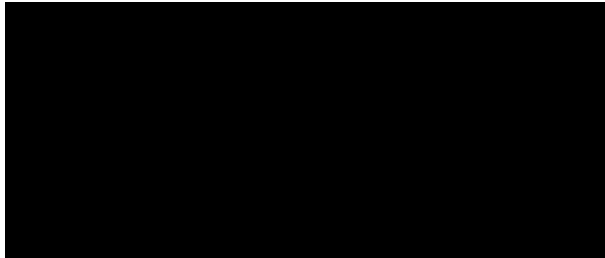
NAME

LEGAL RESIDENCE

Ignacio Segura
President / Chief Executive Officer

Joseph Portela
Secretary

Pere Torres
Treasurer



NAME

LEGAL RESIDENCE

President

Secretary

Treasurer

Bidder's Identifying Data (If this is a joint bid, please list each bidder participant)

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)

(City, State, Zip)

Telephone _____

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)

(City, State, Zip)

Telephone _____

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)

(City, State, Zip)

Telephone _____

I HEREBY CERTIFY ON BEHALF OF THE BIDDER THAT THE INFORMATION PROVIDED HEREIN IS COMPLETE AND ACCURATE:

Bidder: Dragados USA, Inc. (member of 3rd Track Constructors)
(Name)

Signature: _____
(Corporate Officer and/or Authorized Individual)

Name: Rafael de la Barreda Title: Executive Vice President

This certification must be signed by *each* bidder participant. Please submit additional signature pages, as necessary.

THIS DOCUMENT HAS BEEN REDACTED FOR PUBLIC DISTRIBUTION

**VOLUME 1: Legal/Administrative Forms
Schedule XIII - Non-Collusive Bidding Certification**

**Halmar
International LLC**

SCHEDULE XIII – NON-COLLUSIVE BIDDING CERTIFICATION

REQUIRED BY SECTION 2878 OF THE NEW YORK PUBLIC AUTHORITIES LAW

BY SUBMISSION OF ITS BID/PROPOSAL/OFFER, BIDDER/PROPOSER/OFFEROR AND EACH PERSON SIGNING ON BEHALF OF BIDDER/PROPOSER/OFFEROR CERTIFIES, AND IN THE CASE OF JOINT BID/PROPOSAL/OFFER, EACH PARTY THERETO CERTIFIES AS TO ITS OWN ORGANIZATION, UNDER PENALTY OF PERJURY, THAT TO THE BEST OF HIS/HER KNOWLEDGE AND BELIEF:

(1) The prices of this bid/proposal/offer have been arrived at independently, without collusion, consultation, communication, or agreement, for the purposes of restricting competition, as to any matter relating to such prices with any other bidder/proposer/offeror or with any competitor;

(2) Unless otherwise required by law, the prices which have been quoted in this bid/proposal/offer have not been knowingly disclosed by the bidder/proposer/offeror and will not knowingly be disclosed by the bidder/proposer/offeror prior to opening, directly or indirectly, to any other bidder/proposer/offeror or to any competitor; and

(3) No attempt has been made or will be made by the bidder/proposer/offeror to induce any other person, partnership or corporation to submit or not to submit a bid/proposal/offer for the purpose of restricting competition.

A BID/PROPOSAL/OFFER SHALL NOT BE CONSIDERED FOR AWARD NOR SHALL ANY AWARD BE MADE WHERE (1), (2), (3) ABOVE HAVE NOT BEEN COMPLIED WITH; PROVIDED, HOWEVER, THAT IF IN ANY CASE THE BIDDER/PROPOSER/OFFEROR CANNOT MAKE THE FOREGOING CERTIFICATION, THE BIDDER/PROPOSER/OFFEROR SHALL SO STATE AND SHALL FURNISH BELOW SUBMIT WITH ITS BID/PROPOSAL/OFFER A SIGNED STATEMENT WHICH SETS FORTH IN DETAIL THE REASONS THEREFORE:

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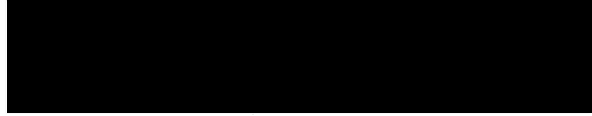
Subscribed to under penalty of perjury under the laws of the State of New York, this 13th day of July, 2017 as the act and deed of said individual, firm, corporation or partnership.

IF BIDDER/PROPOSER/OFFEROR IS A PARTNERSHIP(S), COMPLETE THE FOLLOWING:

NAMES OF PARTNERS OR PRINCIPALS

LEGAL RESIDENCE

Chris Larsen _____



Paul V. Atkins _____

IF BIDDER/PROPOSER/OFFEROR IS A CORPORATION(S), COMPLETE THE FOLLOWING:

NAME

LEGAL RESIDENCE

President

Secretary

Treasurer

NAME

LEGAL RESIDENCE

President

Secretary

Treasurer

Bidder's Identifying Data (If this is a joint bid, please list each bidder participant)

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)

(City, State, Zip)

Telephone _____

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)

(City, State, Zip)

Telephone _____

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)

(City, State, Zip)

Telephone _____

I HEREBY CERTIFY ON BEHALF OF THE BIDDER THAT THE INFORMATION PROVIDED HEREIN IS COMPLETE AND ACCURATE:

Bidder: Halmar International LLC
(Name)

Signature: 
(Corporate Officer and/or Authorized Individual)

Name: Chris Larsen Title: Principal

This certification must be signed by *each* bidder participant. Please submit additional signature pages, as necessary.

**VOLUME 1: Legal/Administrative Forms
Schedule XIII - Non-Collusive Bidding Certification**

CCA Civil, Inc.

SCHEDULE XIII – NON-COLLUSIVE BIDDING CERTIFICATION

REQUIRED BY SECTION 2878 OF THE NEW YORK PUBLIC AUTHORITIES LAW

BY SUBMISSION OF ITS BID/PROPOSAL/OFFER, BIDDER/PROPOSER/OFFEROR AND EACH PERSON SIGNING ON BEHALF OF BIDDER/PROPOSER/OFFEROR CERTIFIES, AND IN THE CASE OF JOINT BID/PROPOSAL/OFFER, EACH PARTY THERETO CERTIFIES AS TO ITS OWN ORGANIZATION, UNDER PENALTY OF PERJURY, THAT TO THE BEST OF HIS/HER KNOWLEDGE AND BELIEF:

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(3) No attempt has been made or will be made by the bidder/proposer/offeror to induce any other person, partnership or corporation to submit or not to submit a bid/proposal/offer for the purpose of restricting competition.

A BID/PROPOSAL/OFFER SHALL NOT BE CONSIDERED FOR AWARD NOR SHALL ANY AWARD BE MADE WHERE (1), (2), (3) ABOVE HAVE NOT BEEN COMPLIED WITH; PROVIDED, HOWEVER, THAT IF IN ANY CASE THE BIDDER/PROPOSER/OFFEROR CANNOT MAKE THE FOREGOING CERTIFICATION, THE BIDDER/PROPOSER/OFFEROR SHALL SO STATE AND SHALL FURNISH BELOW SUBMIT WITH ITS BID/PROPOSAL/OFFER A SIGNED STATEMENT WHICH SETS FORTH IN DETAIL THE REASONS THEREFORE:

[AFFIX ADDENDUM TO THIS PAGE IF SPACE IS REQUIRED FOR STATEMENT]

Subscribed to under penalty of perjury under the laws of the State of New York, this 13 day of July, 2017 as the act and deed of said individual, firm, corporation or partnership.

IF BIDDER/PROPOSER/OFFEROR IS A PARTNERSHIP(S), COMPLETE THE FOLLOWING:

NAMES OF PARTNERS OR PRINCIPALS

LEGAL RESIDENCE

IF BIDDER/PROPOSER/OFFEROR IS A CORPORATION(S), COMPLETE THE FOLLOWING:

NAME

LEGAL RESIDENCE

Charles J. Montalbano

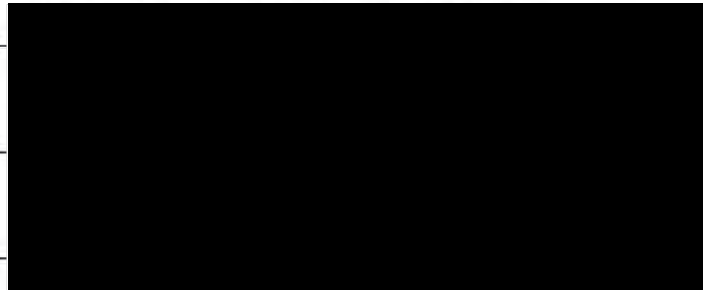
President

David E. Finch

Secretary

Yutian Phinney

Treasurer



NAME

LEGAL RESIDENCE

President

Secretary

Treasurer

Bidder's Identifying Data (If this is a joint bid, please list each bidder participant)

Bidder Charles J. Montalbano - CCA Civil, Inc.
(Name of person, firm or corporation)

Address 445 South Street, Suite 310
(Street)
Morristown, New Jersey 07960
(City, State, Zip)

Telephone 862-701-7200

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)

(City, State, Zip)

Telephone _____

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)

(City, State, Zip)

Telephone _____

I HEREBY CERTIFY ON BEHALF OF THE BIDDER THAT THE INFORMATION PROVIDED HEREIN IS COMPLETE AND ACCURATE:

Bidder: CCA Civil, Inc.
(Name)

Signature:  _____

Name: Charles J. Montalbano President

This certification must be signed by *each* bidder participant. Please submit additional signature pages, as necessary.

**VOLUME 1: Legal/Administrative Forms
Schedule XIII - Non-Collusive Bidding Certification**

**Stantec
Consulting
Services Inc.**

SCHEDULE XIII – NON-COLLUSIVE BIDDING CERTIFICATION

REQUIRED BY SECTION 2878 OF THE NEW YORK PUBLIC AUTHORITIES LAW

BY SUBMISSION OF ITS BID/PROPOSAL/OFFER, BIDDER/PROPOSER/OFFEROR AND EACH PERSON SIGNING ON BEHALF OF BIDDER/PROPOSER/OFFEROR CERTIFIES, AND IN THE CASE OF JOINT BID/PROPOSAL/OFFER, EACH PARTY THERETO CERTIFIES AS TO ITS OWN ORGANIZATION, UNDER PENALTY OF PERJURY, THAT TO THE BEST OF HIS/HER KNOWLEDGE AND BELIEF:

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Subscribed to under penalty of perjury under the laws of the State of New York, this 13th day of July, 2017 as the act and deed of said individual, firm, corporation or partnership.

IF BIDDER/PROPOSER/OFFEROR IS A PARTNERSHIP(S), COMPLETE THE FOLLOWING:

NAMES OF PARTNERS OR PRINCIPALS

LEGAL RESIDENCE

IF BIDDER/PROPOSER/OFFEROR IS A CORPORATION(S), COMPLETE THE FOLLOWING:

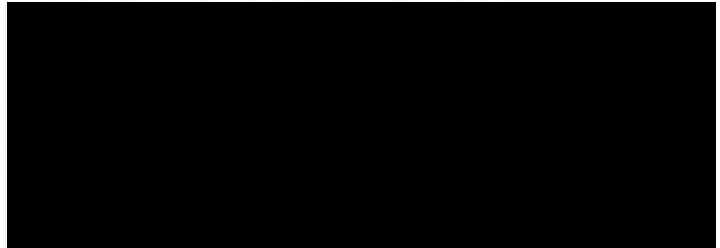
NAME

LEGAL RESIDENCE

Robert J. Gomes
President

Christopher O. Heisler
Secretary

Daniel J. Lefaivre
Treasurer



NAME

LEGAL RESIDENCE

President

Secretary

Treasurer

Bidder's Identifying Data (If this is a joint bid, please list each bidder participant)

Bidder Stantec Consulting Services Inc.
(Name of person, firm or corporation)

Address 475 Fifth Avenue, 12th Floor
(Street)
New York, New York 10017
(City, State, Zip)

Telephone (212) 366-5600

Bidder _____
(Name of person, firm or corporation)

Address _____
(Street)

(City, State, Zip)

Telephone _____

Bidder _____
(Name of person, firm or corporation)

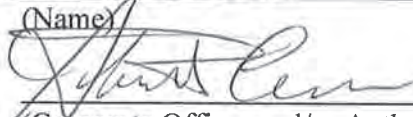
Address _____
(Street)

(City, State, Zip)

Telephone _____

I HEREBY CERTIFY ON BEHALF OF THE BIDDER THAT THE INFORMATION PROVIDED HEREIN IS COMPLETE AND ACCURATE:

Bidder: Stantec Consulting Services Inc.
(Name)

Signature: 
(Corporate Officer and/or Authorized Individual)

Name: Stuart E. Lerner, PE, ENV SP Title: Senior Vice President

This certification must be signed by *each* bidder participant. Please submit additional signature pages, as necessary.

VOLUME 1: Legal/Administrative Forms

Schedule XIV - MacBride
Certification

Schedule XIV - MacBride Certification

SCHEDULE XIV – MACBRIDE CERTIFICATION

**NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR
EMPLOYMENT PRINCIPLES**

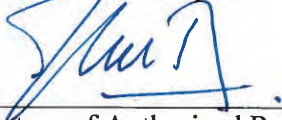
In accordance with Section 165(5) of New York's State Finance Law, the Bidder/Proposer/Offeror, by submission of its bid/proposal/offer, certifies that it or any individual or legal entity in which the Bidder/Proposer/Offeror holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership in the Bidder/Proposer/Offeror, either: (check one)

(1) Has no business operations in Northern Ireland; or

(2) Shall make lawful steps in good faith to conduct any business operations that it has in Northern Ireland in accordance with the MacBride Fair Employment Principles, relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such principles.

3rd Track Constructors

Name of Bidder/Proposer/Offeror



Signature of Authorized Representative

Jose Miguel Ibanez / Authorized Representative

July 13, 2017

Date

THIS DOCUMENT HAS BEEN REDACTED FOR PUBLIC DISTRIBUTION

**VOLUME 1: Legal/Administrative Forms
Schedule XIV - MacBride Certification**

John P. Picone, Inc.

John P. Picone, Inc.

SCHEDULE XIV – MACBRIDE CERTIFICATION

**NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR
EMPLOYMENT PRINCIPLES**

In accordance with Section 165(5) of New York's State Finance Law, the Bidder/Proposer/Offeror, by submission of its bid/proposal/offer, certifies that it or any individual or legal entity in which the Bidder/Proposer/Offeror holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership in the Bidder/Proposer/Offeror, either: (check one)

- (1) Has no business operations in Northern Ireland; or
- (2) Shall make lawful steps in good faith to conduct any business operations that it has in Northern Ireland in accordance with the MacBride Fair Employment Principles, relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such principles.

John P. Picone Inc.

Name of Bidder/Proposer/Offeror

Signature of Authorized Representative
Mario Serrano Villate, Chief Operating Officer

7/10/17

Date

**VOLUME 1: Legal/Administrative Forms
Schedule XIV - MacBride Certification**

Dragados USA, Inc.

SCHEDULE XIV – MACBRIDE CERTIFICATION

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Section 165(5) of New York's State Finance Law, the Bidder/Proposer/Offeror, by submission of its bid/proposal/offer, certifies that it or any individual or legal entity in which the Bidder/Proposer/Offeror holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership in the Bidder/Proposer/Offeror, either: (check one)

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Dragados USA, Inc.
Name of Bidder/Proposer/Offeror


Signature of Authorized Representative
Rafael de la Barreda, Executive Vice President

July 13, 2017
Date

**VOLUME 1: Legal/Administrative Forms
Schedule XIV - MacBride Certification**

**Halmar
International LLC**

SCHEDULE XIV – MACBRIDE CERTIFICATION

**NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR
EMPLOYMENT PRINCIPLES**

In accordance with Section 165(5) of New York's State Finance Law, the Bidder/Proposer/Offeror, by submission of its bid/proposal/offer, certifies that it or any individual or legal entity in which the Bidder/Proposer/Offeror holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership in the Bidder/Proposer/Offeror, either: (check one)

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Halmar International LLC

Name of Bidder/Proposer/Offeror



Signature of Authorized Representative

July 13, 2017

Date

**VOLUME 1: Legal/Administrative Forms
Schedule XIV - MacBride Certification**

CCA Civil, Inc.

SCHEDULE XIV – MACBRIDE CERTIFICATION

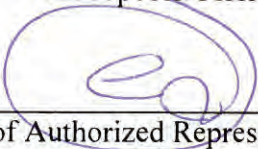
**NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR
EMPLOYMENT PRINCIPLES**

In accordance with Section 165(5) of New York's State Finance Law, the Bidder/Proposer/Offeror, by submission of its bid/proposal/offer, certifies that it or any individual or legal entity in which the Bidder/Proposer/Offeror holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership in the Bidder/Proposer/Offeror, either: (check one)

- (1) Has no business operations in Northern Ireland; or
- (2) Shall make lawful steps in good faith to conduct any business operations that it has in Northern Ireland in accordance with the MacBride Fair Employment Principles, relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such principles.

CCA Civil, Inc.

Name of Bidder/Proposer/Offeror



Signature of Authorized Representative

7/13/2017

Date

**VOLUME 1: Legal/Administrative Forms
Schedule XIV - MacBride Certification**

**Stantec
Consulting
Services Inc.**


SCHEDULE XIV – MACBRIDE CERTIFICATION

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Section 165(5) of New York's State Finance Law, the Bidder/Proposer/Offeror, by submission of its bid/proposal/offer, certifies that it or any individual or legal entity in which the Bidder/Proposer/Offeror holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the Bidder/Proposer/Offeror, either: (check one)

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Stantec Consulting Services Inc.
Name of Bidder/Proposer/Offeror


Signature of Authorized Representative

July 13, 2017
Date

Stuart E. Lerner, PE, ENV SP, Senior Vice President

VOLUME 1: Legal/Administrative Forms

**Schedule XV -
Disclosure Form for
Potential Conflict
of Interest**

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Jose Miguel Ibanez

Title:

Authorized Representative

Date:

July 13, 2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

3rd Track Constructors

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

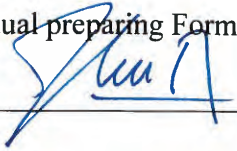
If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

 X

Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:



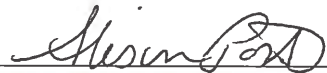
VERIFICATION AND ACKNOWLEDGMENT

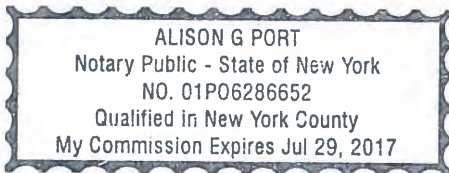
STATE OF New York)
)ss:
COUNTY OF New York

On the 14th day of July in the year 2017, before me personally came and appeared Jose Miguel Ibanez by me known to be said person, who, swore under oath as follows:

- 1. He/she is Authorized Representative of 3rd Track Constructors.
(Print title) (Print name of firm)
- 2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
- 3. The answers to the questions set forth in this form are true, accurate and complete.
- 4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 14th day of July, 2017.


Notary Stamp and Signature



THIS DOCUMENT HAS BEEN REDACTED FOR PUBLIC DISTRIBUTION

**VOLUME 1: Legal/Administrative Forms
Schedule XV - Disclosure Form for Potential Conflict of Interest**

John P. Picone, Inc.

John P. Picone, Inc.

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Mario Serrano Villate, Chief Operating Officer

Title:

Date:

Contract Number(s) to which this report applies:

7/10/17

LIRR Contract #6240

Name of Contractor:

John P. Picone Inc.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor’s objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:



VERIFICATION AND ACKNOWLEDGMENT

STATE OF New York)
)ss:
 COUNTY OF Nassau)

On the _____ day of July in the year 20 17 before me personally came and appeared Mario Serrano Villate by me known to be said person, who, swore under oath as follows:

1. He/she is Chief Operating Officer of John P. Picone Inc.
 (Print title) (Print name of firm)
2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
3. The answers to the questions set forth in this form are true, accurate and complete.
4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 10th day of July, 20 17

Maureen Tolfree
 Notary Stamp and Signature

MAUREEN TOLFREE
 NOTARY PUBLIC-STATE OF NEW YORK
 No. 01TO6284406
 Qualified in Nassau County
 My Commission Expires June 17, 2021

VOLUME 1: Legal/Administrative Forms
Schedule XV - Disclosure Form for Potential Conflict of Interest

Dragados USA, Inc.

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Rafael de la Barreda

Title:

Executive Vice President

Date:

July 13, 2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

Dragados USA, Inc.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

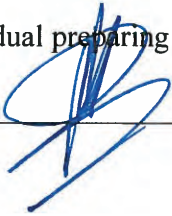
If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

 X

Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:




VERIFICATION AND ACKNOWLEDGMENT

STATE OF New York)
)ss:
COUNTY OF New York)

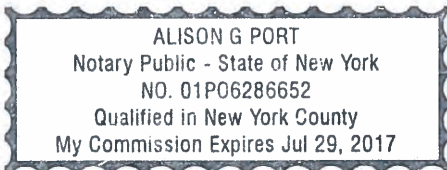
On the 17th day of July in the year 2017, before me personally came and appeared Rafael de la Barreda by me known to be said person, who, swore under oath as follows:

1. He/she is Executive Vice President of Dragados USA, Inc.
(Print title) (Print name of firm)
2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
3. The answers to the questions set forth in this form are true, accurate and complete.
4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 17th day of July, 2017.



Notary Stamp and Signature



THIS DOCUMENT HAS BEEN REDACTED FOR PUBLIC DISTRIBUTION

VOLUME 1: Legal/Administrative Forms
Schedule XV - Disclosure Form for Potential Conflict of Interest

Halmar
International LLC

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Chris Larsen

Title:

Principal

Date:

Contract Number(s) to which this report applies:

July 13, 2017

LIRR Contract #6240

Name of Contractor:

Halmar International LLC

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor’s objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

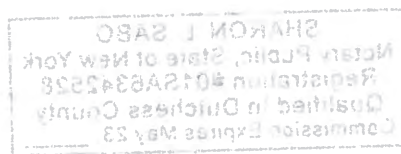
In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

 X



Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:

[Handwritten Signature]

VERIFICATION AND ACKNOWLEDGMENT

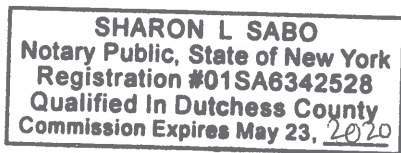
STATE OF New York)
)ss:
COUNTY OF Rockland)

On the 13th day of July in the year 2017, before me personally came and appeared Chris Larsen by me known to be said person, who, swore under oath as follows:

- 1. He/she is Principal of Halmar International LLC.
(Print title) (Print name of firm)
- 2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
- 3. The answers to the questions set forth in this form are true, accurate and complete.
- 4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 13th day of July, 2017.

[Handwritten Signature]
Notary Stamp and Signature



VOLUME 1: Legal/Administrative Forms
Schedule XV - Disclosure Form for Potential Conflict of Interest

CCA Civil, Inc.

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Charles J. Montalbano

Title:

President

Date:

7/13/2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

CCA Civil, Inc.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

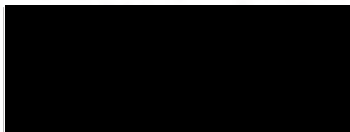
An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:

eq

VERIFICATION AND ACKNOWLEDGMENT

STATE OF *New Jersey*
 COUNTY OF *Morris*

On the *13th* day of *July* in the year *2017*, before me personally came and appeared *Charles J. Montalbano* by me known to be said person, who, swore under oath as follows:

- 1. He/she is President of CCA Civil, Inc.
 (Print title) (Print name of firm)
- 2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
- 3. The answers to the questions set forth in this form are true, accurate and complete.
- 4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the *13th* day of *July*, 20*17*
Anamaria Pichardo
 Notary Stamp and Signature

ANAMARIA PICHARDO
 NOTARY PUBLIC OF NEW JERSEY
 Comm. # 50053303
 My Commission Expires 1/23/2022

VOLUME 1: Legal/Administrative Forms
Schedule XV - Disclosure Form for Potential Conflict of Interest

**Stantec Consulting
Services Inc.**

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Stuart E. Lerner, PE, ENV SP

Title:

Senior Vice President

Date:

July 13, 2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

Stantec Consulting Services Inc.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest


If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



SCHEDULE XIV – MACBRIDE CERTIFICATION

**NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR
EMPLOYMENT PRINCIPLES**

In accordance with Section 165(5) of New York's State Finance Law, the Bidder/Proposer/Offeror, by submission of its bid/proposal/offer, certifies that it or any individual or legal entity in which the Bidder/Proposer/Offeror holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership in the Bidder/Proposer/Offeror, either: (check one)

-  (1) Has no business operations in Northern Ireland; or
- (2) Shall make lawful steps in good faith to conduct any business operations that it has in Northern Ireland in accordance with the MacBride Fair Employment Principles, relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such principles.

AB Consulting
Name of Bidder/Proposer/Offeror

Bharet R. Patel
Signature of Authorized Representative

June 21, 2017
Date

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Bharat Patel

Title:

President

Date:

June 21, 2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

AB Consulting

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor’s objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest



B: Possible Organizational Conflicts of Interest



If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Abhay Wadhwa

Title:

President

Date:

June 23,2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

AWA Lighting Designers Inc.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:

Abhay Wadhwa
or

VERIFICATION AND ACKNOWLEDGMENT

STATE OF New York)
)ss:
COUNTY OF Kings)

On the 23rd day of June in the year 2017, before me personally came and appeared ABHAY WADHWA by me known to be said person, who, swore under oath as follows:

1. ~~He~~she is ABHAY WADHWA of AWA LIGHTING DESIGNERS
(Print title) (Print name of firm)
2. ~~He~~she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
3. The answers to the questions set forth in this form are true, accurate and complete.
4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 23rd day of June, 2017.

Joanna Kaminski
Notary Stamp and Signature
Abhay Wadhwa
or



SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Atly Botas

Title:

Director of Business Development

Date:

6/28/2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

AmerCom Corporation

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest - NONE

B: Possible Organizational Conflicts of Interest - NONE

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:

[Signature]
Richard Lee, President

VERIFICATION AND ACKNOWLEDGMENT

STATE OF New Jersey)
)ss:
COUNTY OF Morris)

On the 28th day of June in the year 2017, before me personally came and appeared Richard Lee by me known to be said person, who, swore under oath as follows:

- He/she is President of AmerCom Corporation.
(Print title) (Print name of firm)
- He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
- The answers to the questions set forth in this form are true, accurate and complete.
- He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 28th day of June, 2017.

[Signature]

Notary Stamp and Signature
ALICE V COLLINS
ID # 2048125
NOTARY PUBLIC
STATE OF NEW JERSEY
My Commission Expires July 25, 2018

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

John D. Cameron, Jr., P.E.

Title:

Managing Partner

Date:

June 19, 2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

3rd Track Constructors (3TC)

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:
[Handwritten Signature]

VERIFICATION AND ACKNOWLEDGMENT

STATE OF New York)
)ss:
COUNTY OF Nassau)

On the 19th day of June in the year 2017, before me personally came and appeared John D. Cameron, Jr. by me known to be said person, who, swore under oath as follows:

- 1. He/she is Managing Partner of Cameron Engineering & Associates, LLP (Print title) (Print name of firm)
2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
3. The answers to the questions set forth in this form are true, accurate and complete.
4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 19th day of June, 2017.
[Handwritten Signature]
Notary Stamp and Signature

DONNA LEE SINRAM
Notary Public, State of New York
Registration #01SI5012093
Qualified in Nassau County
Commission Expires June 15, 2017

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Alyssa Loorya, M.A., MPhil., R.P.A.

Title:

President

Date:

21 June 2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

Chrysalis Archaeological Consultants, Inc.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor’s objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

X

Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:

Alyssa Loorya

VERIFICATION AND ACKNOWLEDGMENT

STATE OF New York)
)ss:
COUNTY OF Kings)

On the 21st day of June in the year 2017, before me personally came and appeared Alyssa Loorya by me known to be said person, who, swore under oath as follows:

1. He/she is President of Chrysalis Archaeological Consultants, Inc.
(Print title) (Print name of firm)
2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
3. The answers to the questions set forth in this form are true, accurate and complete.
4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 21st day of June, 2017.

Paul Link
Notary Stamp and Signature



SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Norman Hinsey, PE, LEED AP

Title:

Program Manager

Date:

6/14/2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

CSA Group NY Architects and Engineers, P.C.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor’s objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

[REDACTED]

B: Possible Organizational Conflicts of Interest

[REDACTED]

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

[REDACTED]

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Asal Khanbilvardi

Title:

Marketing Coordinator

Date:

June 16th, 2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

EnTech Engineering, PC

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

A. Stacey Gogos

Title:

President

Date:

Contract Number(s) to which this report applies:

6/15/2017

LIRR Contract #6240

Name of Contractor:

Environmental Planning & Management, Inc.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor’s objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

 X

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

KATHERINE HEAVISIDE

Title:

PRESIDENT

Date:

06/23/2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

EPOCH 5 MARKETING, INC

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

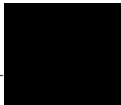
An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Christine Gayron, L.S.

Title:

President

Date:

06/14/2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

Gayron de Bruin Land Surveying and Engineering, P.C.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor’s objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:

[Handwritten Signature]

VERIFICATION AND ACKNOWLEDGMENT

STATE OF New York)
)ss:
COUNTY OF Nassau)

On the 14th day of June in the year 2017 before me personally came and appeared Christine Gayron by me known to be said person, who, swore under oath as follows:

- 1. He/she is President of Gayron de Bruin Land Surveying and Engineering, P.C.
(Print title) (Print name of firm)
- 2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
- 3. The answers to the questions set forth in this form are true, accurate and complete.
- 4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 14th day of June, 2017

Caroline Ciampa
Notary Stamp and Signature

CAROLINE CIAMPA
Notary Public, State of New York
No. 01CI6185662
Qualified in Nassau County
Commission Expires 04/21/2020

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Patrick D. Mahon

Title:

Vice President

Date:

Contract Number(s) to which this report applies:

June 16, 2017

LIRR Contract #6240

Name of Contractor:

GZA GeoEnvironmental of New York

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:



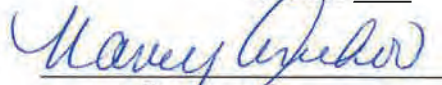
VERIFICATION AND ACKNOWLEDGMENT

STATE OF NEW YORK)
)ss:
COUNTY OF NEW YORK)

On the 16th day of June in the year 2017, before me personally came and appeared Patrick D. Mahon by me known to be said person, who, swore under oath as follows:

1. He/she is Vice President of GZA GeoEnvironmental of New York.
(Print title) (Print name of firm)
2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
3. The answers to the questions set forth in this form are true, accurate and complete.
4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 16th day of June, 2017.



Notary Stamp and Signature

NANCY ANCHOR
Notary Public, State of New York
No. 01ANG082475
Qualified in Queens County
Commission Expires July 28, 2017

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Stephen G. Hayduk

Title:

Managing Member

Date:

6/27/17

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

Hayduk Engineering LLC

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:

[Handwritten signature]

VERIFICATION AND ACKNOWLEDGMENT

STATE OF New York)
)ss:
COUNTY OF Suffolk)

On the 27 day of June in the year 2017, before me personally came and appeared Stephen G. Hayduk by me known to be said person, who, swore under oath as follows:

1. He/she is Managing Member of Hayduk Engineering LLC.
(Print title) (Print name of firm)
2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
3. The answers to the questions set forth in this form are true, accurate and complete.
4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 27 day of June, 2017.

[Handwritten signature]
Notary Stamp and Signature

NANCI LANGE
NOTARY PUBLIC, State of New York
Qualified in Suffolk County
No. 01LA6124900
Commission Expires April 4, 2021

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Dominic A. DiBrito

Title:

Partner

Date: June 21, 2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

LTK Engineering Services of New York LLP

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:



VERIFICATION AND ACKNOWLEDGMENT

COMMONWEALTH
STATE OF Pennsylvania)
)ss:
COUNTY OF Montgomery)

On the 21st day of June in the year 2017, before me personally came and appeared Dominic A. DiBrito by me known to be said person, who, swore under oath as follows:

1. He/she is Partner of LTK Engineering Services of New York LLP.
(Print title) (Print name of firm)
2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
3. The answers to the questions set forth in this form are true, accurate and complete.
4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 21st day of June, 2017.


Notary Stamp and Signature

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Karen L. Kruppa, Notary Public
Ambler Boro, Montgomery County
My Commission Expires Aug. 18, 2019
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Manny Beigelmacher

Title: Senior Vice President

Date: _____ Contract Number(s) to which this report applies:

June 27, 2017

LIRR Contract #6240

Name of Contractor:

Munoz Engineering & Land Surveying, DPC

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

 X



SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Peter Kim, P.E.

Title:

President

Date:

06-14-2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

PK Engineering, P.C.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor’s objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Chitra R. Radin

Title:

President

Date:

June 15, 2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

Radin Consulting, Inc.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:

Chitra R. Radin

VERIFICATION AND ACKNOWLEDGMENT

STATE OF NJ)
)ss: 586-66-3631
COUNTY OF Essex)

On the 15 day of June in the year 2017, before me personally came and appeared Chitra R. Radin by me known to be said person, who, swore under oath as follows:

1. He/she is President of Radin Consulting, Inc.
(Print title) (Print name of firm)
2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
3. The answers to the questions set forth in this form are true, accurate and complete.
4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 15 day of June, 2017

[Signature]
Notary Stamp and Signature

RUDENCA BLOSHMI
Notary Public
State of New Jersey
My Commission Expires Aug. 11, 2019
I.D.# 2448987

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Chandu Bhoraniya

Title:

Vice President

Date:

Contract Number(s) to which this report applies:

6/20/2017

LIRR Contract #6240

Name of Contractor:

T.Y. Lin International Engineering, Architecture, & Land Surveying, P.C.

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

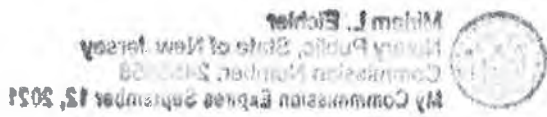
An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor's objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

David Vander Wal

Title:

Senior Vice President

Date:

6/14/2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

Walker Parking Consultants

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor’s objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:

 X

Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:

David Vander Wal

VERIFICATION AND ACKNOWLEDGMENT

STATE OF)
)ss:
COUNTY OF)

On the 15th day of June in the year 2017, before me personally came and appeared David Vander Wal by me known to be said person, who, swore under oath as follows:

- 1. He/she is Senior Vice President of Walker Parking Consultants.
(Print title) (Print name of firm)
- 2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
- 3. The answers to the questions set forth in this form are true, accurate and complete.
- 4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 15th day of June, 2017.

Notary Stamp and Signature
 MICHELLE A BECHTOLD
 Commission # 50021377
 Notary Public, State of New Jersey
 My Commission Expires
 August 14, 2020

SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Michaele A. Watson, EIT

Title:

Project Manager

Date:

June 16, 2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

W. Allen Engineering, PLLC

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

An organizational conflict of interest means that because of other activities, relationships or contracts, a contractor is unable or potentially unable to render impartial assistance or advice to LIRR; a contractor’s objectivity in performing the contract work is or might be otherwise impaired; or a contractor has an unfair competitive advantage.

In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest

If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



SCHEDULE XV – DISCLOSURE FORM FOR POTENTIAL CONFLICT OF INTEREST

Full Name of Person Submitting Report:

Claire Weisz

Title:

Principal-in-charge

Date:

June 22, 2017

Contract Number(s) to which this report applies:

LIRR Contract #6240

Name of Contractor:

Claire Weisz Architects, d/b/a WXY architecture + urban design

A personal conflict of interest would arise when any of the following parties has a financial or other interest in the entity selected for award: a) an employee, officer board member, or agent b) any member of his or her immediate family; c) his or her partner; or d) an organization that employs or intends to employ any of the above.

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In your own words, describe in detail the circumstances which may constitute a personal or organizational conflict of interest including, but not limited to, participation by Contractor and/or Design Subcontractor or an employee thereof in the preparation of technical specifications and/or reference documents included in the Conceptual Design Package included with the Contract Documents.

A: Possible Personal Conflicts of Interest

B: Possible Organizational Conflicts of Interest


If there are no circumstances, to the best of your knowledge, which may constitute a personal or organizational conflict of interest please indicate same by placing an "X" on the line provided below:



Disclosure Form for Potential Conflict of Interest - 2

LIRR reserves the right to inquire further with respect to information provided in this form, including a negative response.

Individual preparing Form for Disclosure of Potential Conflicts of Interest must sign here:



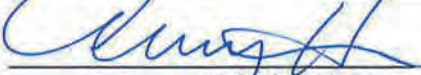
VERIFICATION AND ACKNOWLEDGMENT

STATE OF _____)
)ss:
COUNTY OF _____)

On the 20th day of June in the year 2017, before me personally came and appeared Claire Weisz by me known to be said person, who, swore under oath as follows:

- 1. He/she is Principal in Charge of Claire Weisz Architects. d/b/a WXY architecture + urban design.
(Print title) (Print name of firm)
- 2. He/she is duly authorized to sign this form on behalf of said firm and duly signed this document pursuant to said authorization.
- 3. The answers to the questions set forth in this form are true, accurate and complete.
- 4. He/she acknowledges and understands that any award made by LIRR of the subject contract is made in reasonable reliance on the representations made herein and that LIRR considers the information provided with respect to potential conflicts of interest to be material to its determination.

Sworn to before me the 22nd day of June, 2017


Notary Stamp and Signature

AMY HAU
Notary Public, State of New York
No. 01HA5077806
Qualified in Queens County
Commission Expires May 12, 2019
Certificate filed in New York County

VOLUME 1: Legal/Administrative Forms

**Schedule XVI -
New York State
Public Authorities
Law Section 1269
Certifications**

SCHEDULE XVI – NEW YORK STATE PUBLIC AUTHORITIES LAW
SECTION 1269 CERTIFICATION

1. Contractor shall comply with the requirements of Section 1269–g of the Public Authorities Law, as amended and supplemented, and with rules and regulations that LIRR and/or the Metropolitan Transportation Authority (MTA) may adopt pursuant to Section 1269–g(6).
2. No later than ninety (90) days from the effective date of this Contract, the Contractor shall file with LIRR a certification signed by an officer of Contractor and sworn to under penalties of perjury that Contractor has complied with Section 1269–g by posting and distributing the information specified in Section 1269–g(2) in the manner required by Section 1269–g(1). Such certification shall include a copy of the information that the Contractor posted and distributed, and a description of how that it has been posted and distributed.
3. At such time as LIRR and/or the Authority have posted on their public internet websites, currently www.lirr.org and www.mta.info, sample statements, displays and other materials that provide the information required by Section 1269-g, Contractor may use LIRR’s and/or the Authority’s sample statements, displays and other materials in complying with Section 1269-g. Until LIRR and/or the Authority have posted such information, it is Contractor’s responsibility to accurately and completely prepare and communicate the required information. The required information consists of the following:
 - (a) the telephone numbers and addresses to report information of fraud or other illegal activity to the appropriate officers of the Metropolitan Transportation Authority inspector general and the Attorney General of New York;
 - (b) a description in detail of conduct prohibited by Section 189 of the State Finance Law, and the role of that act in preventing and detecting fraud and abuse in work paid for by LIRR and/or the Authority or with funds originating from LIRR and/or the Authority;
 - (c) a notice to prospective qui tam plaintiffs on how to file a qui tam action, including the necessity to contact private counsel skilled in filing such actions and of the potential for cash rewards in such actions based on the percentage of the funds recovered by the government; and
 - (d) a description of the prohibitions on employer retaliation against persons who file or assist actions under Article 13 of the State Finance Law (the New York False Claims Act) pursuant to Section 191 of the State Finance Law, or who report illegal conduct that threatens the health or safety of the public pursuant to Section 740 of the labor law.
4. The Contractor shall insert into every first-tier Subcontract, and require the insertion into all lower-tier Subcontracts, a provision requiring each Subcontractor to comply with the requirements of Section 1269–g of the Public Authorities Law, as amended and supplemented, and with any statements, displays and other materials, and rules and

regulations that the Authority may adopt pursuant to Section 1269-g(6) and requiring that, no later than ninety (90) days from the effective date of each Subcontract, each Subcontractor file with the Contractor a verified statement from such Subcontractor certifying that such Subcontractor has complied with Section 1269-g by posting and distributing the information specified in Section 1269-g(2) in the manner required by Section 1269-g(1). The verified statement shall include a copy of the information that such Subcontractor posted and distributed.

5. No later than ninety (90) days from the effective date of each Subcontract of any tier, the Contractor shall file with LIRR a certification signed by an officer of Subcontractor and sworn to under penalties of perjury certifying that such Subcontractor has complied with Section 1269-g by posting and distributing the information specified in Section 1269-g(2) in the manner required by Section 1269-g(1). Such certification shall include a copy of the information that the Contractor posted and distributed and a description of how that information has been posted and distributed.

6. Material compliance by the Contractor with these provisions of the Contract and with Section 1269-g shall be a material condition of payment. The Contractor shall insert into every first-tier Subcontract, and require the insertion into all lower-tier Subcontracts, a provision stating that material compliance by a Subcontractor with Section 1269-g shall be a material condition of payment. Each request for payment submitted by the Contractor shall include a certification signed by an officer of Contractor and sworn to under penalties of perjury certifying that Contractor and every Subcontractor has continued to comply with the requirements of Section 1269-g of the Public Authorities Law, as amended and supplemented, and with any statements, displays and other materials, and rules and regulations that the Authority may adopt pursuant to Section 1269-g(6).

REFER TO NEW YORK STATE PROVISIONS FOR ADDITIONAL INFORMATION

By:  07/13/2017
(Signature of Person Certifying) (Date Signed)

Print Name: Jose Miguel Ibanez

Print Title: Authorized Representative

Bidder/Proposer or
Contractor/Consultant: 3rd Track Constructors
(Full Legal Name)

Address: 810 Seventh Ave. 9th Floor, New York, NY 10019

Business Phone No.: 212-779-0900


**SCHEDULE XVI – NEW YORK STATE PUBLIC AUTHORITIES LAW SECTION 1269
CERTIFICATION**

1. Contractor shall comply with the requirements of Section 1269–g of the Public Authorities Law, as amended and supplemented, and with rules and regulations that LIRR and/or the Metropolitan Transportation Authority (MTA) may adopt pursuant to Section 1269–g(6).
2. No later than ninety (90) days from the effective date of this Contract, the Contractor shall file with LIRR a certification signed by an officer of Contractor and sworn to under penalties of perjury that Contractor has complied with Section 1269–g by posting and distributing the information specified in Section 1269–g(2) in the manner required by Section 1269–g(1). Such certification shall include a copy of the information that the Contractor posted and distributed, and a description of how that it has been posted and distributed.
3. At such time as LIRR and/or the Authority have posted on their public internet websites, currently www.lirr.org and www.mta.info, sample statements, displays and other materials that provide the information required by Section 1269-g, Contractor may use LIRR’s and/or the Authority’s sample statements, displays and other materials in complying with Section 1269-g. Until LIRR and/or the Authority have posted such information, it is Contractor’s responsibility to accurately and completely prepare and communicate the required information. The required information consists of the following:
 - (a) the telephone numbers and addresses to report information of fraud or other illegal activity to the appropriate officers of the Metropolitan Transportation Authority inspector general and the Attorney General of New York;
 - (b) a description in detail of conduct prohibited by Section 189 of the State Finance Law, and the role of that act in preventing and detecting fraud and abuse in work paid for by LIRR and/or the Authority or with funds originating from LIRR and/or the Authority;
 - (c) a notice to prospective qui tam plaintiffs on how to file a qui tam action, including the necessity to contact private counsel skilled in filing such actions and of the potential for cash rewards in such actions based on the percentage of the funds recovered by the government; and
 - (d) a description of the prohibitions on employer retaliation against persons who file or assist actions under Article 13 of the State Finance Law (the New York False Claims Act) pursuant to Section 191 of the State Finance Law, or who report illegal conduct that threatens the health or safety of the public pursuant to Section 740 of the labor law.
4. The Contractor shall insert into every first-tier Subcontract, and require the insertion into all lower-tier Subcontracts, a provision requiring each Subcontractor to comply with the requirements of Section 1269–g of the Public Authorities Law, as amended and supplemented, and with any statements, displays and other materials, and rules and

regulations that the Authority may adopt pursuant to Section 1269-g(6) and requiring that, no later than ninety (90) days from the effective date of each Subcontract, each Subcontractor file with the Contractor a verified statement from such Subcontractor certifying that such Subcontractor has complied with Section 1269-g by posting and distributing the information specified in Section 1269-g(2) in the manner required by Section 1269-g(1). The verified statement shall include a copy of the information that such Subcontractor posted and distributed.

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REFER TO NEW YORK STATE PROVISIONS FOR ADDITIONAL INFORMATION

By:  7/10/17
 (Signature of Person Certifying) (Date Signed)

Print Name: Mario Serrano Villate

Print Title: Chief Operating Officer

Bidder/Proposer or Contractor/Consultant: John P. Picone Inc.
 (Full Legal Name)

Address: 31 Garden Lane
Lawrence, NY 11559

Business Phone No.: 516 239-1600

SCHEDULE XVI – NEW YORK STATE PUBLIC AUTHORITIES LAW
SECTION 1269 CERTIFICATION

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REFER TO NEW YORK STATE PROVISIONS FOR ADDITIONAL INFORMATION

By:  07/17/2017
(Signature of Person Certifying) (Date Signed)

Print Name: Rafael de la Barreda

Print Title: Executive Vice President

Bidder/Proposer or
Contractor/Consultant: Dragados USA, Inc.
(Full Legal Name)

Address: 810 Seventh Ave. 9th Floor, New York, NY 10019

Business Phone No.: 212-779-0900

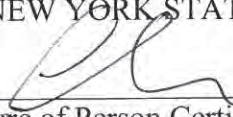
SCHEDULE XVI – NEW YORK STATE PUBLIC AUTHORITIES LAW
SECTION 1269 CERTIFICATION

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REFER TO NEW YORK STATE PROVISIONS FOR ADDITIONAL INFORMATION

By:  July 13, 2017
(Signature of Person Certifying) (Date Signed)

Print Name: Chris Larsen

Print Title: Principal

Bidder/Proposer or
Contractor/Consultant: Halmar International LLC
(Full Legal Name)

Address: 421 East Route 59, Nanuet, NY 10954

Business Phone No.: (845)735.3511

SCHEDULE XVI – NEW YORK STATE PUBLIC AUTHORITIES LAW
SECTION 1269 CERTIFICATION

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REFER TO NEW YORK STATE PROVISIONS FOR ADDITIONAL INFORMATION

By: _____
(Signature of Person Certifying)



7/13/2017
(Date Signed)

Print Name: Charles J. Montalbano

Print Title: President

Bidder/Proposer or
Contractor/Consultant: CCA Civil, Inc.
(Full Legal Name)

Address: 445 South Street, Suite 310, Morristown, NJ 07960

Business Phone No.: 862-701-7200