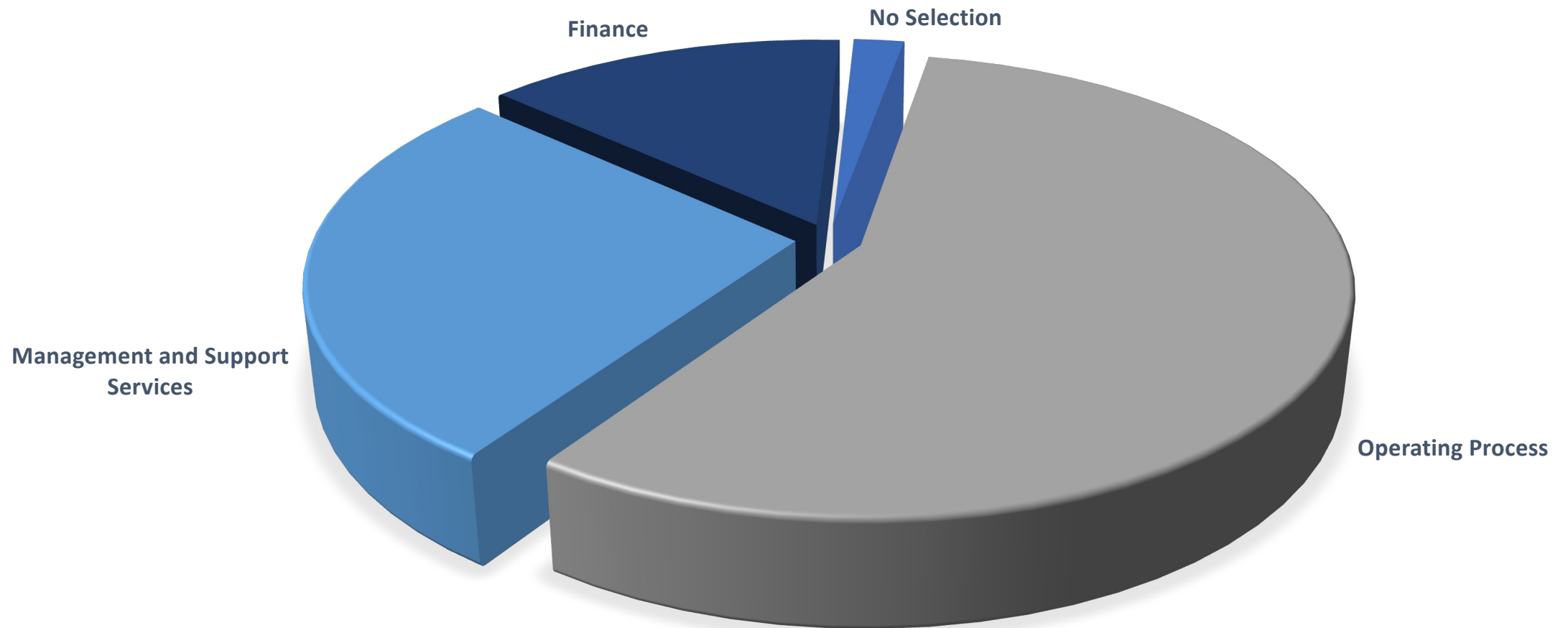


MTA CORPORATE COMPLIANCE

Enterprise Risk Management Status Report

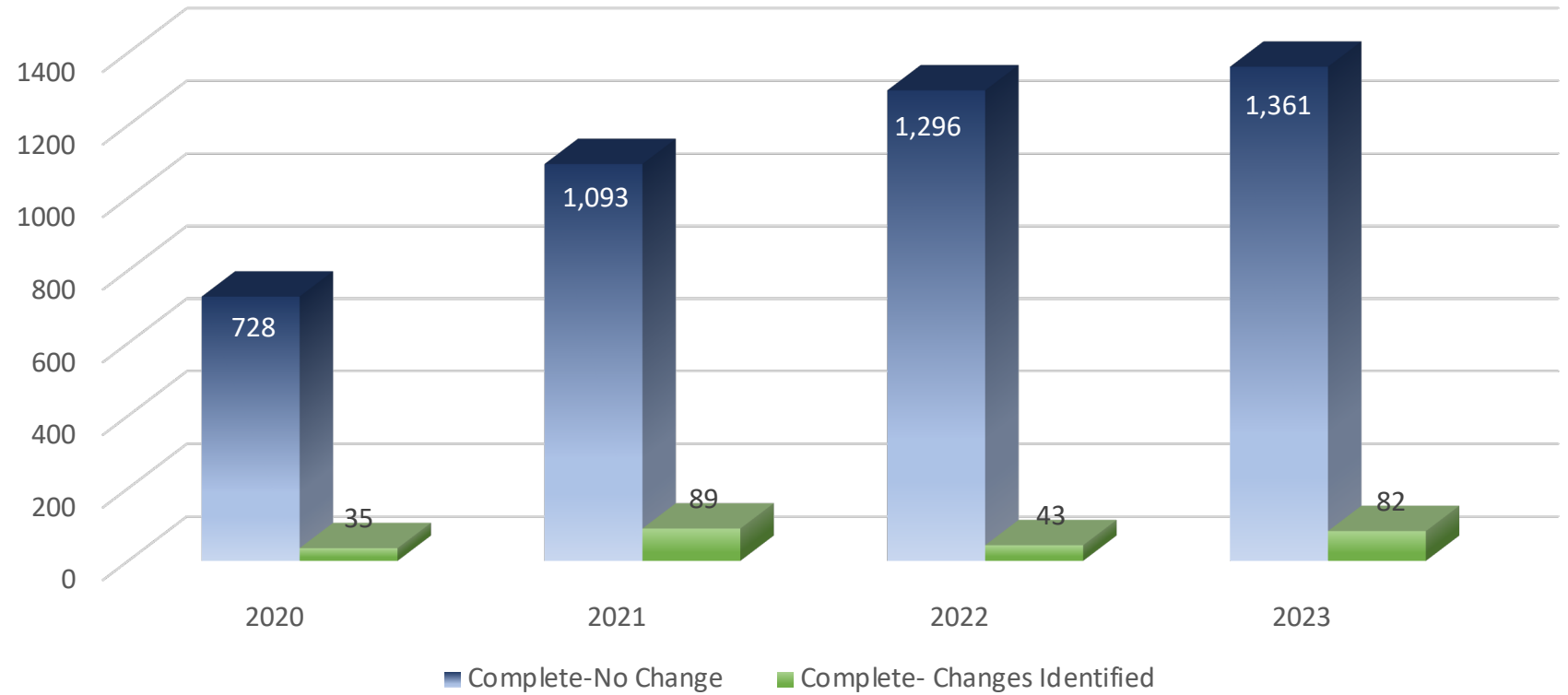
Report to the Audit Committee
January 2024

ERM: Business Processes by Type



ERM: Risk Assessments

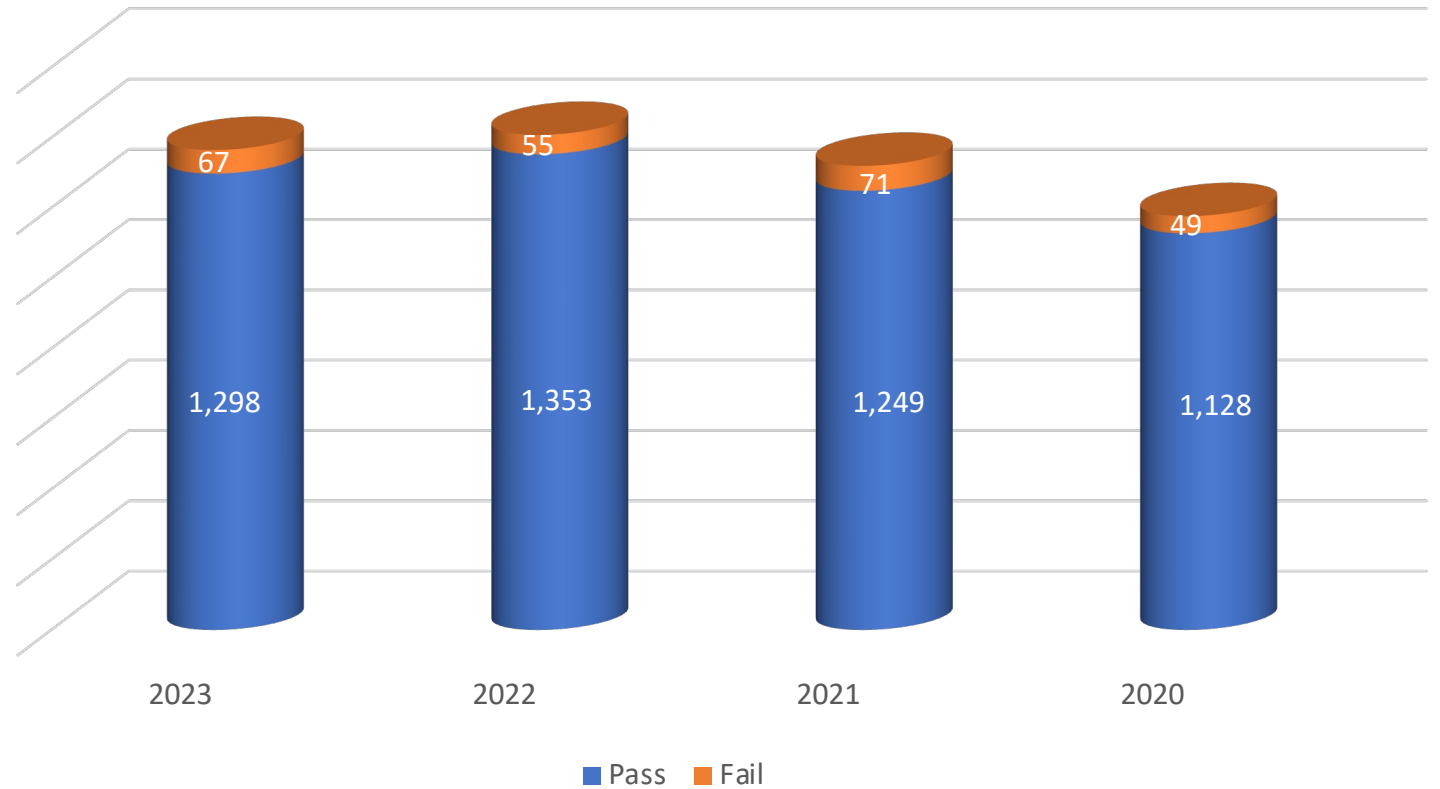
In 2023 we conducted over 1,400 Risk Assessments



Control Self Assessments

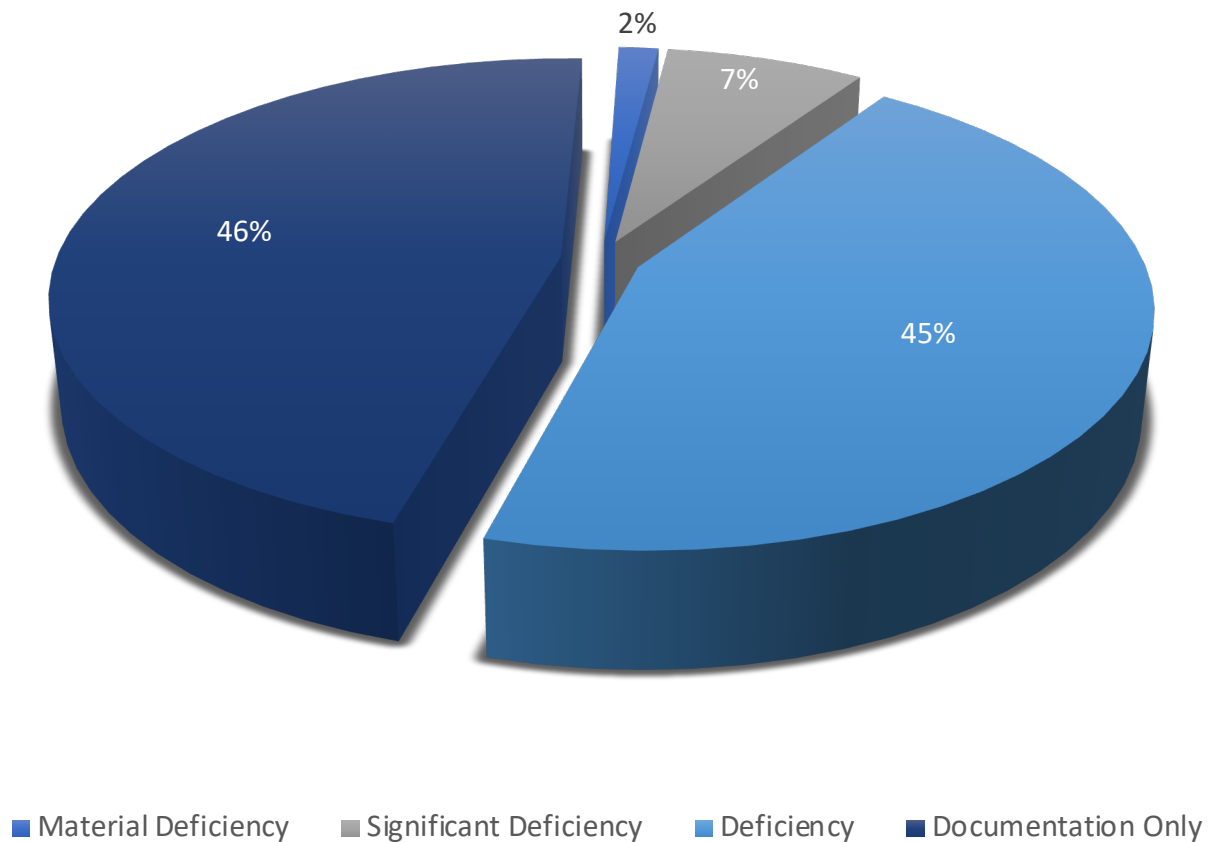
In 2023, completed 1,365 Control Self Assessments.

As of this report 1,298 passed, 67 failed.

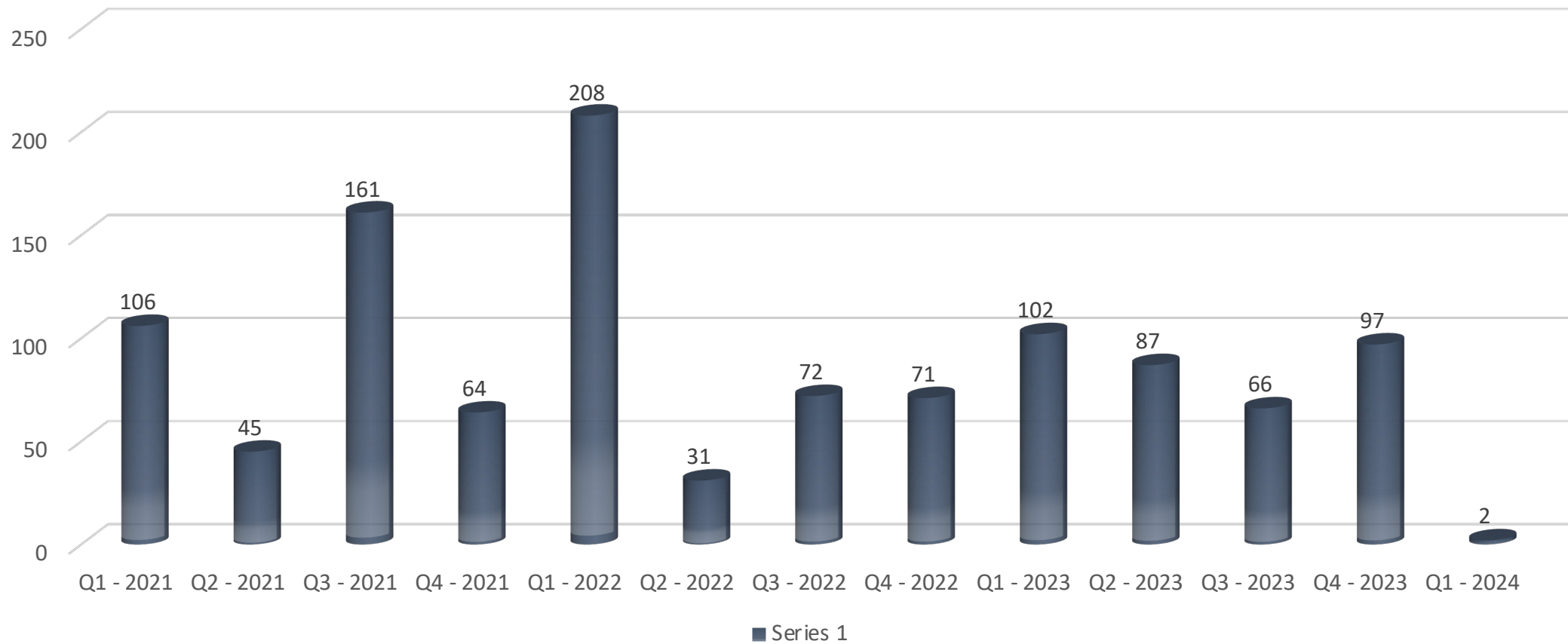


Deficiencies by Significance

As of this report 67 deficiencies by significance for 2023



Remediation Plans Creation Trending by Quarter



Remediation Plans Closure Trending by Quarter

