



ENTERPRISE RISK MANAGEMENT /INTERNAL CONTROL
COMPLIANCE CERTIFICATION 2023
METROPOLITAN TRANSPORTATION AUTHORITY
2 BROADWAY, NEW YORK, NY 10004

In compliance with NYS Public Authorities Law Sections 2931-2932 ("Act") and other relevant internal control/risk management standards the MTA has:

- (1) Established and maintained guidelines for a system of internal controls,
- (2) Established and maintained a system of internal controls and a program of internal control review,
- (3) Made available to each officer and employee of the MTA a clear and concise statement of the generally applicable management policies and standards,
- (4) Designate an Internal Control Officer, who reports to the authority head, to implement and review the internal control responsibilities,
- (5) Implement education and training efforts to ensure that officers and employees have achieved adequate awareness and understanding of internal control standards and, as appropriate, evaluation techniques, and
- (6) Periodically evaluate the need to establish, maintain or modify the internal audit function.

Therefore, we hereby certify to the best of our knowledge and belief that as of December 31, 2023, the Metropolitan Transportation Authority's Enterprise Risk Management and Internal Controls structure and procedures are effective and fully compliance with the Act and all relevant standards.

A handwritten signature in black ink, appearing to read "Lamond W. Kearse", written over a horizontal line.

LAMOND W. KEARSE

Chief Ethics, Risk & Compliance Officer

03/27/24

Date

A handwritten signature in blue ink, appearing to read "Janno Lieber", written over a horizontal line.

JANNO LIEBER

Chair and Chief Executive Officer

5.2.24

Date