

Operating and Financial Risks

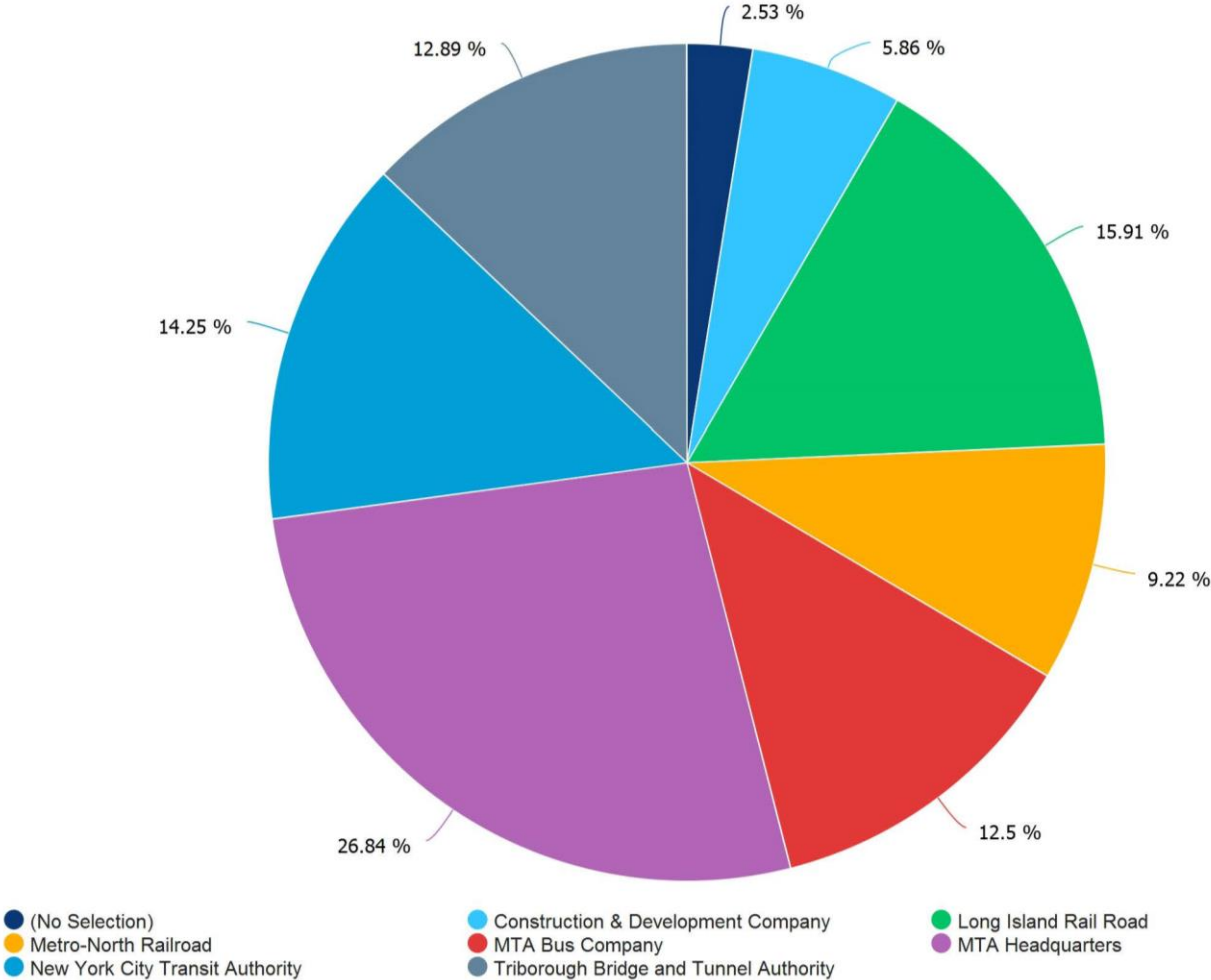
MTA CORPORATE COMPLIANCE

Enterprise Risk Management Status Report

Report to the Audit Committee
February 2023

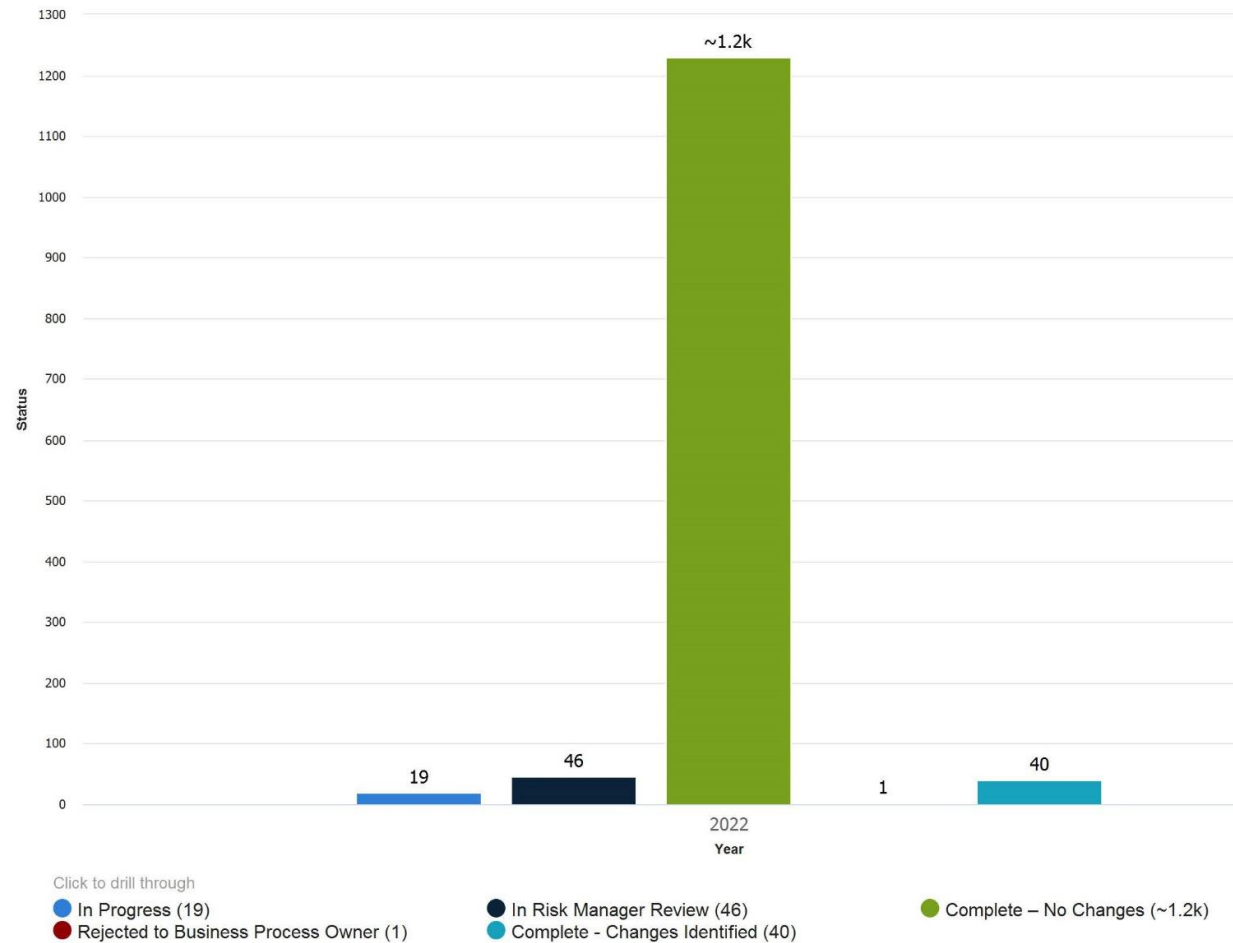
ERM: Business Processes by Risk Rating

As of 2022, we identified 2,125 Business Processes



ERM: Risk Assessments

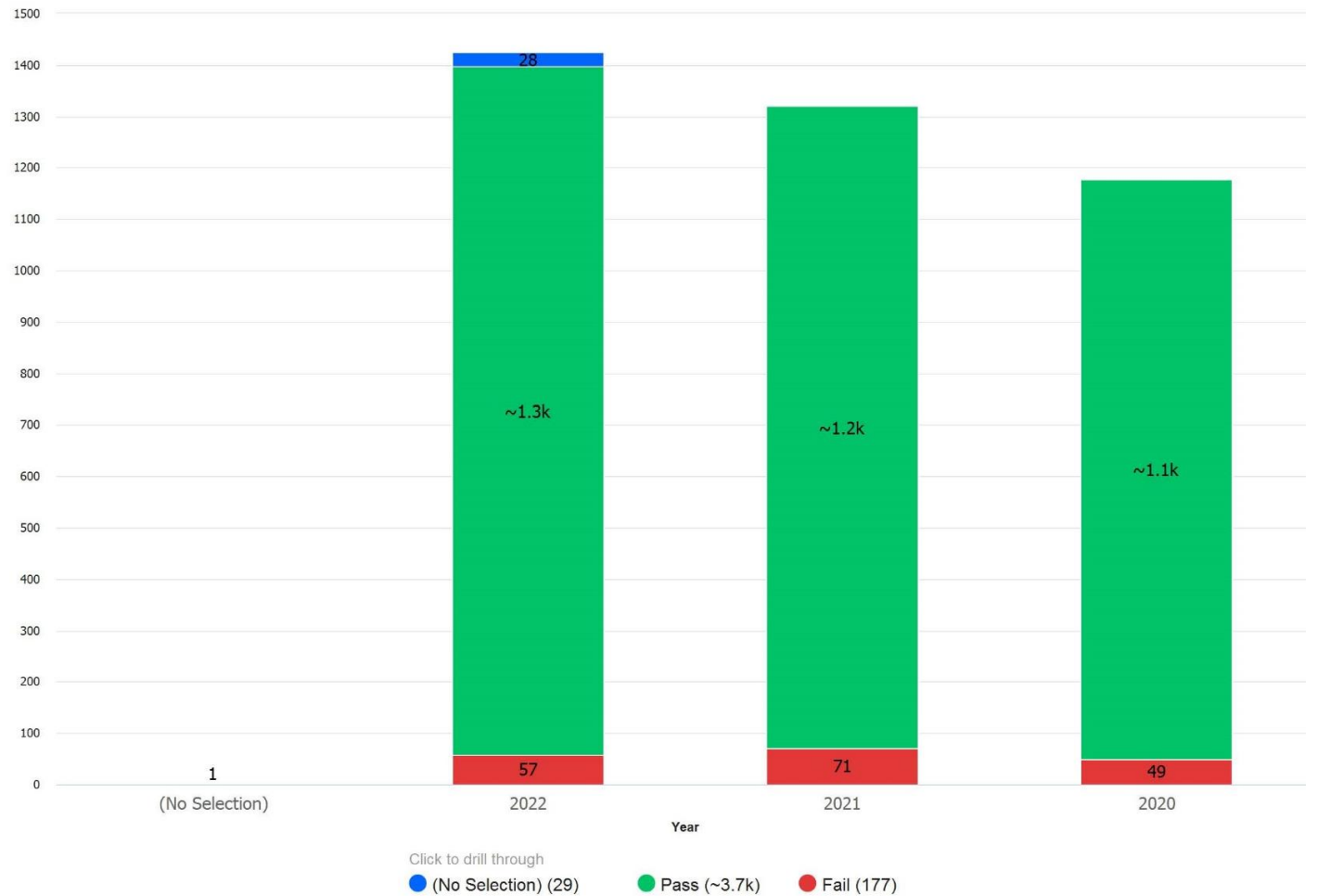
We have completed 1,335 Risk (VA) Assessments



Control Self Assessments

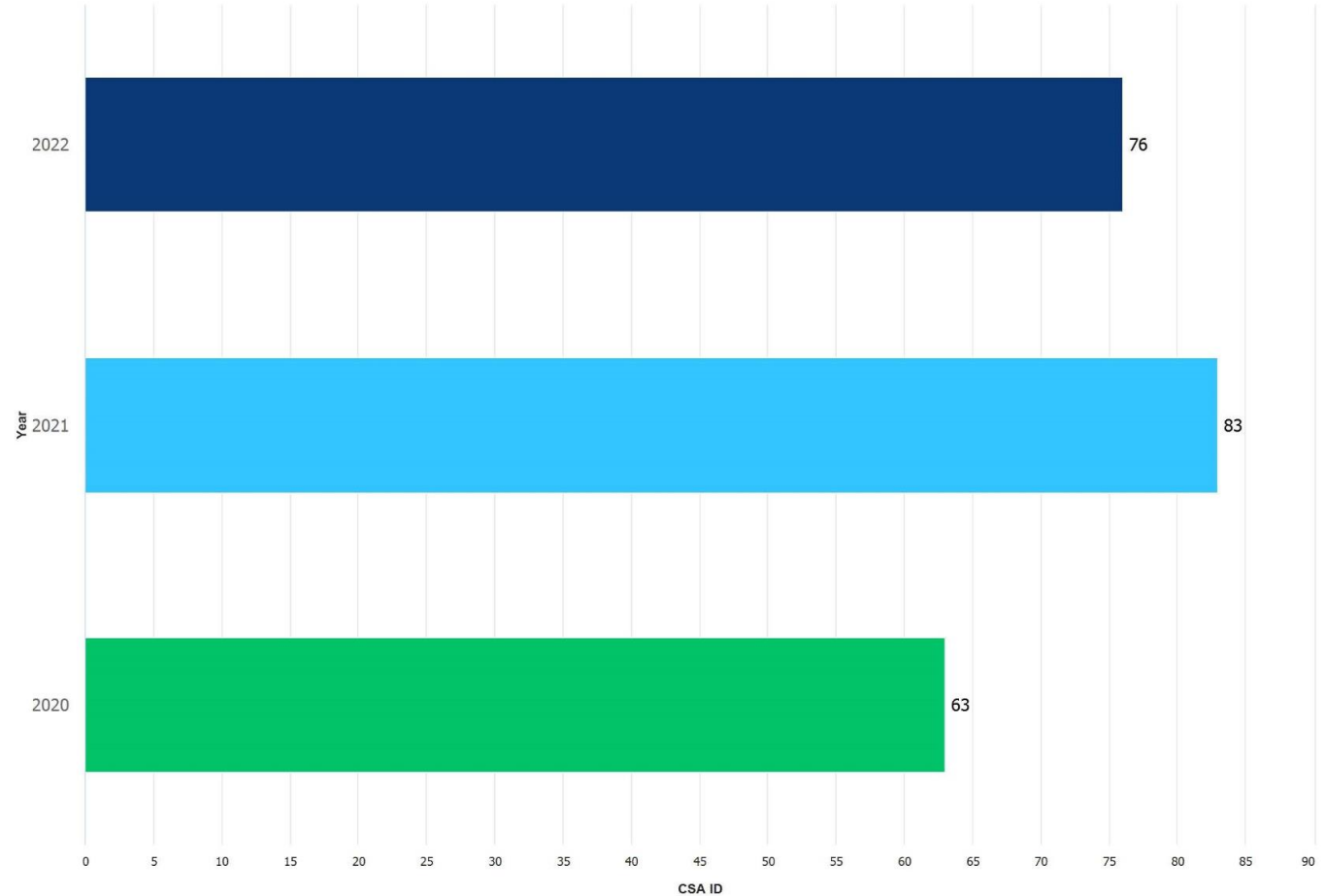
In 2022, completed 1,425 Control Self Assessments.

As of this report 1,340 passed, 57 failed and 28 are still under review.

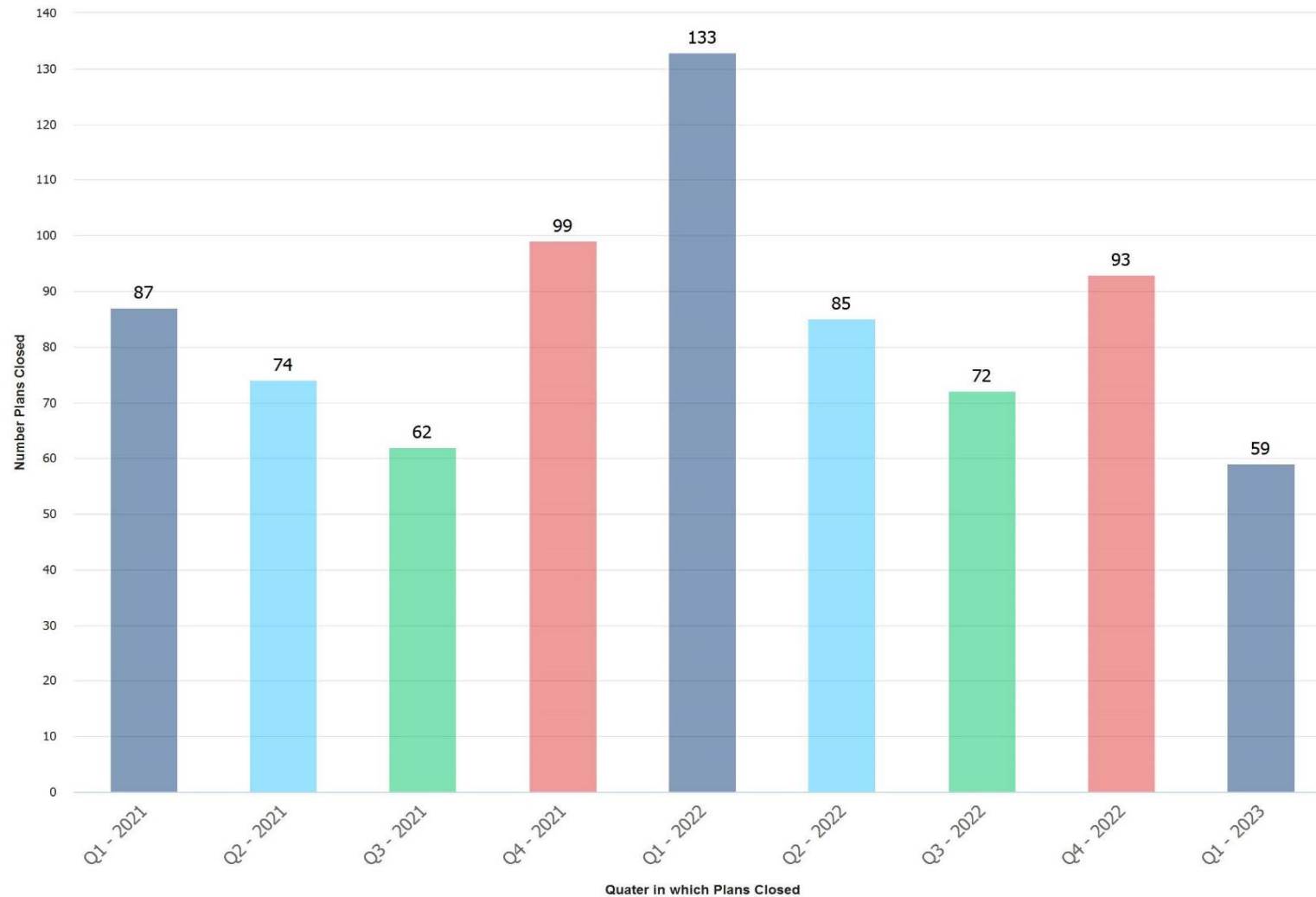


Deficiencies

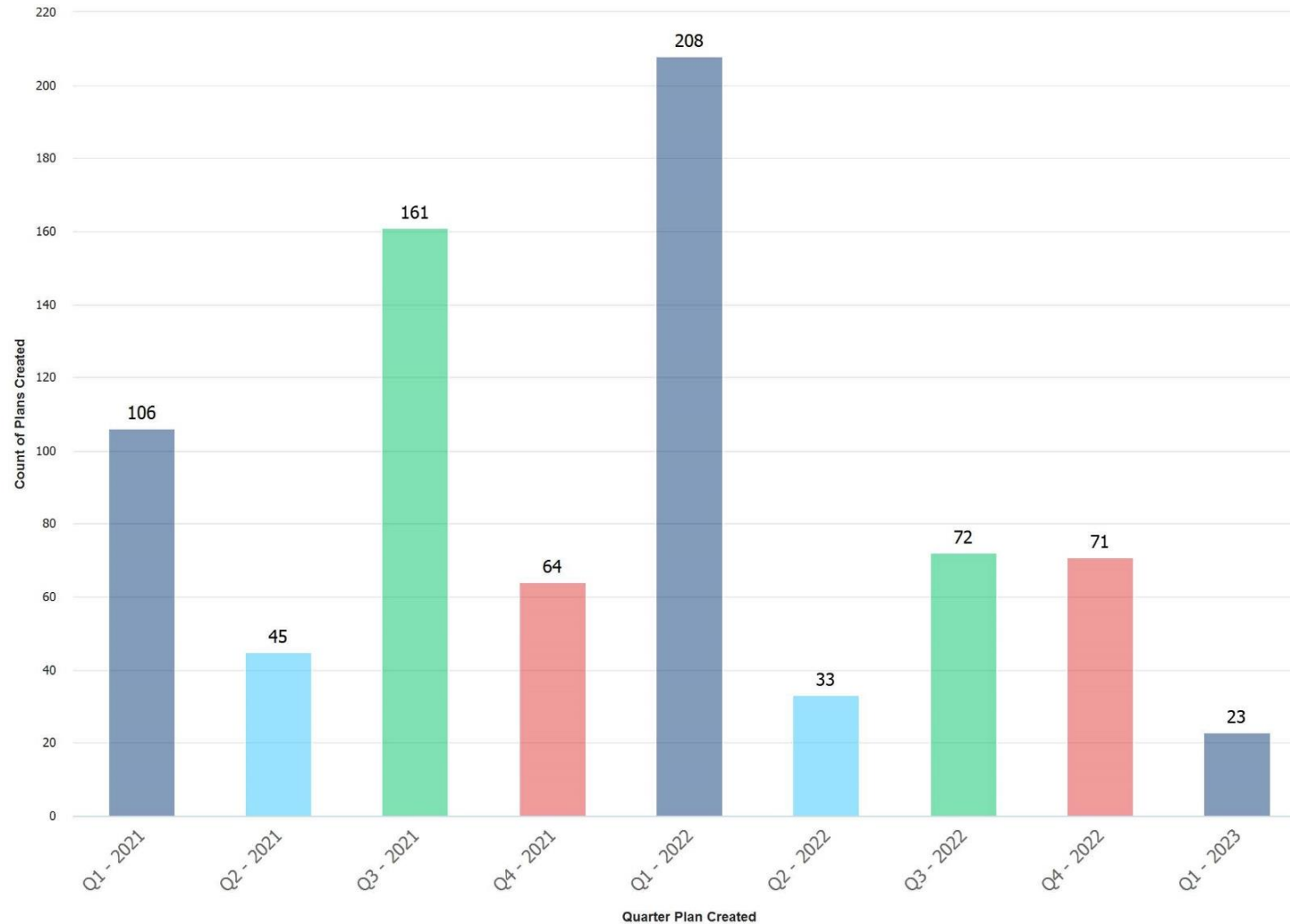
We have identified 76 deficiencies for 2022 as of this report which is consistent with prior years



Remediation Plans Closure Trending by Quarter



Remediation Plans Creation Trending by Quarter



ERM Risk Factors

Top Agency Risks

Risk Process

Cyber Security

Infrastructure and Equipment Maintenance

Reputational

Safety - Employee and Customer

Security

Succession Planning

Enterprise-Wide Risks

Risk Process

Cyber Security

Post Institutional Transformation

Reputational

Safety

Succession Planning